RegTech Insight
Awards USA 2026



RegTech Insight Awards USA 2026 Winners' Report

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Congratulations to the winners of A-Team Group's RegTech Insight Awards USA 2026, announced on November 19, 2026. The awards recognise established providers and emerging businesses delivering regulatory technology, services and consultancy to capital markets participants across North America.

As firms introduce artificial intelligence into compliance workflows, teams need to assess its outputs, oversee its use and preserve evidence of their decisions. Surveillance teams need to connect trading activity with relevant communications, while reporting teams need reliable data and records of how submissions were prepared.

The 2026 awards cover 30 solution and service categories. They include Best Regulatory Reporting Solution, Best Trade Surveillance Solution, Best AI Solution for Regulatory Compliance and Best Solution for Operational Resilience. Financial crime prevention, client onboarding, data security, and records retention and retrieval also feature among the capabilities recognised.

The Editor's Recognition Award for USA RegTech Industry Professional of the Year goes to Konstantinos Rizakos, General Manager, Compliance, NICE Actimize.

Thank you to every vendor that entered and to the RegTech Insight community that voted. I would also like to thank our independent advisory board and editorial team for their time and expertise in selecting this year's winners.

We congratulate all recipients and invite you to explore the solutions and services recognised in the following pages.

Interested in entering in 2027?

If you are a solution provider and you would like to be alerted for when the 2027 awards are open, enter your details **here**.

You may also be interested in finding out further information on our **RegTech Insight Awards Europe 2027**. Award enquiries: **awards@a-teamgroup.com**

We will notify you when nominations open.



Andrew Delaney
President & Chief Content Officer
A-Team Group

I'd personally like to thank our advisory board for their time and valuable input.

BRETT UTNICK
Executive Director – Head of Regulatory Oversight Group, Americas
Nomura

STAN YAKOFF
Adjunct Professor of Law
Fordham Law School

MARY KOPCZYNSKI
Co-Founder
Women in RegTech New York (WIRNY)

ALEX FORD
Director
The RegTech Association

KENNETH LAMAR
Principal Partner
Lamar Associates LLC

ANAND NARAYANAN
Executive Director, Regulatory Change Management
Sumitomo Mitsui Banking Corporation (SMBC)

KERRY GENDRON
Managing Director, Head of Product, Surveillance Innovation
JP Morgan Chase

ARUN MAHESHWARI
Executive Director - Head of Model Risk control, Legal and Compliance
Morgan Stanley

KRISHAN SHARMA
Senior Vice President - Risk & Analytics
Citi

ANDELIJA MILAS
Head of KYC Process and Production
ING Americas

SAMANTHA LEVENTHAL
Managing Director, Head of Wealth Financial Crimes & Shared client Controls
Citi

EDITOR'S RECOGNITION AWARD FOR USA REGTECH INDUSTRY PROFESSIONAL OF THE YEAR



Konstantinos Rizakos
General Manager, Compliance, NICE Actimize

Konstantinos is the General Manager for the Compliance business line at NiCE, a leader in AI-powered software for customer engagement, financial crime, and compliance solutions. Prior to joining NICE, Konstantinos dedicated over two decades in finance at Goldman, Citigroup, and Morgan Stanley; the last twelve years as Head of Compliance Technology. Outside the workplace, he teaches AI Product Management at NYU Stern School of Business and serves on the board of the Governor's Island Foundation.

WINNER: BEST REGULATORY REPORTING SOLUTION



Neil Visnapuu, VP, Product Management

Arcesium

Arcesium is a global financial technology company delivering front-to-back technology solutions for some of the world's most sophisticated financial institutions, including hedge funds, asset managers, private markets, sell side, and digital assets firms. Built to achieve a synchronized golden source of data throughout a client's ecosystem, Arcesium's cloud-native technology systematizes the most complex workflows and helps clients achieve scale. Today, Arcesium services over $6.7T in gross AUM and $1.2T in sell-side balances with 2,600 professionals.

www.arcesium.com

WINNER: BEST REGULATORY ANALYTICS SOLUTION



Haider Mannan, CEO

BIGTXN

BIGTXN is a specialist data partner delivering datasets for risk and compliance. Founded in 2021 and headquartered in the UK, BIGTXN combines deep securities expertise, advanced matching algorithms and a specialised data platform to provide intelligence on financial instruments, issuers and exposures. BIGTXN's monitoring and screening data powers pre- and post-trade controls for leading financial institutions, helping them navigate sanctions and evolving regulatory requirements with confidence.

www.bigtxn.com

WINNER: MOST INNOVATIVE TECHNOLOGY FOR REGULATORY COMPLIANCE

LSEG

LSEG Risk Intelligence provides a suite of solutions to help organisations efficiently navigate risks, limit reputational damage, reduce fraud and comply with legal and regulatory obligations around the globe. From screening solutions through World-Check, to detailed background checks on any entity or individual through due diligence reports, and innovative identity verification and account verification– you can trust us to help you manage your risk, so you can operate more efficiently, more effectively and more confidently.

lseg.com/risk-intelligence



Priya Nallan, Global Head of Product, LSEG Risk Intelligence

WINNER: BEST TRANSACTION REPORTING SOLUTION

n-Tier

n-Tier is an innovative financial technology company that couples deep industry expertise with a unique software platform to help institutions minimize the risks and costs associated with regulatory reporting. The Compliance Workbench is the only platform that has proven to programmatically unwind the complexity of trade flows across all front- and back-office environments globally to produce accurate regulatory reports while providing the independent monitoring and controls needed to manage critical reporting obligations.

www.ntierfs.com

WINNER: BEST AI SOLUTION FOR REGULATORY COMPLIANCE

Saifr

Saifr redefines how compliance operates with advanced AI technology, the right data, and deep industry expertise. Incubated within Fidelity Labs, Saifr harnesses the power of AI agents to help address the limitations and inefficiencies within traditional compliance frameworks—helping firms save time, reduce costs, improve accuracy, and safeguard from regulatory and reputational risks. Saifr's AI-powered risk prevention and management solutions include capabilities for marketing compliance review, adverse media monitoring, and electronic communications surveillance.

saifr.ai

WINNER: BEST SOLUTION FOR BUY-SIDE REGULATORY COMPLIANCE

Services and KYC by S&P Global Market Intelligence

At S&P Global Market Intelligence, we deliver trusted data, insights and technology solutions that help customers broaden their perspective, operate with confidence and make decisions with conviction. As a division of S&P Global (NYSE: SPGI), we support organizations navigating global capital, commodity and automotive markets through leading analytics and workflow solutions. Our expertise helps businesses understand today's economic landscape and plan confidently for tomorrow.

www.spglobal.com/marketintelligence

S&P Global
Market Intelligence

WINNER: BEST DATA SECURITY SOLUTION

WeActis by Mondata

Mondata is a Canadian cybersecurity company that develops innovative security products and delivers expert cybersecurity services to help organizations strengthen their cyber resilience. Our portfolio includes WeActis, a Microsoft 365 governance platform, estele, our full-stream detection platform, as well as Managed Detection & Response (MDR) and Penetration Testing services. By combining innovative technology with human expertise, we help organizations detect threats, reduce cyber risk, and continuously improve their security posture.

mondata.ai/en



Yves Eric Laliberté, CEO

WeActis
by Mondata

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More RegTech Insight Awards USA 2026 winners

BEST SOLUTION FOR RECORDS RETENTION & RETRIEVAL
Behavox

BEST COMPLIANCE-AS-A-SERVICE SOLUTION
Cloud9

BEST REGULATORY CONSULTANCY - NORTH AMERICA
Capco

BEST SANCTION/WATCHLIST SCREENING SOLUTION
Castellum.ai

BEST REGULATORY CHANGE MANAGEMENT SOLUTION
Corlytics

BEST REGULATORY INTELLIGENCE SOLUTION
CUBE

BEST TRADE SURVEILLANCE SOLUTION
Eventus

BEST CLIENT ON-BOARDING SOLUTION
Fenergo

BEST AML TRANSACTION MONITORING SOLUTION
FICO

BEST REGTECH START UP FOR INSTITUTIONAL MARKETS
Haast

BEST FINANCIAL CRIME SOLUTION (INCLUDING AML/FRAUD)
Innovative Systems Inc

BEST SOLUTION FOR OPERATIONAL RESILIENCE
ITRS

BEST DIGITAL IDENTITY VERIFICATION SOLUTION
LexisNexis® Risk Solutions

BEST PEPS SCREENING / PEPS RISK MANAGEMENT SOLUTION
Moody's

BEST REGULATORY ALERT MANAGEMENT SOLUTION
NICE Actimize

BEST E-COMMS SURVEILLANCE SOLUTION
NICE Actimize

BEST KYC DATA SOLUTION
OpenCorporates

BEST SOLUTION FOR SELL-SIDE REGULATORY COMPLIANCE
REGnosys

BEST TRANSACTION FRAUD MONITORING SOLUTION
Shufti Pro

BEST COMPLIANCE MANAGEMENT SOLUTION
Smarsh

BEST TAX COMPLIANCE SOLUTION
Taxbit

BEST FINANCIAL CRIME INVESTIGATION AND REPORTING SOLUTION
Tesari AI

BEST TRANSACTION COST ANALYSIS SOLUTION FOR BEST EXECUTION
Trading Technologies

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