

# RegTech Insight Awards Europe 2023 Winners' Report

## Empower your teams with A-Team Training's expert-led training in capital markets' data, technology and regulation

On-Demand | In Person | Virtual Briefings

### ESG Foundation



Gain solid foundational knowledge about how ESG - Environmental, Social and Governance - is shaping financial markets, and the impact of ESG regulations on data and technology, with our on-demand course

**Type:** On-demand

**Duration:** 2.5 hours

### Market Abuse Fundamentals



Gain solid foundational knowledge about Market Abuse Regulations (MAR) and understand recent regulatory actions against firms and individuals (and how to avoid it) with our MAR Fundamentals course.

**Type:** On-demand with Live Q&A

**Duration:** 2 hours

### Insider's View from Brussels



Make sure you are up-to-date on the latest about key legislation being negotiated in Brussels by the EC, EU Parliament and Council, covering banks and fund management, with our quarterly regulatory updates.

**Type:** Quarterly live virtual sessions with Q&A

**Duration:** 2 hours

## Meet our Expert Trainers



**Hany Choueiri**  
*Chief Data & Privacy Officer*

Hany Choueiri, a top data influencer, advises companies on ESG best practices and advocates Stakeholder Capitalism, leveraging his extensive experience in private and public sectors



**Katharine Leaman**  
*Regulatory Compliance Consultant*

Katharine, a regulatory compliance consultant with Leaman Crellin Ltd, has 30+ years of experience in regulatory risk and governance, including at the FCA. She trains teams at major banks on regulatory matters.



**Dr. David P. Doyle**  
*EU Policy Advisor*

Dr. David P. Doyle is an EU policy advisor and expert, and former diplomat, who specialises in EU financial services regulation. He interfaces on a regular basis with key policy-makers and legislators in the corridors of all three EU Institutions.



Learn More and  
Register to Attend

[a-teaminsight.com/training](https://a-teaminsight.com/training)

# About RegTech Insight Awards Europe 2023

Congratulations to the winners of A-Team Group's RegTech Insight Awards – Europe 2023. The awards recognise both established providers and innovative newcomers providing RegTech solutions that have successfully improved firms' ability to effectively respond to evolving and ever more complex regulatory requirements across the global financial services industry.

Guest speaker Ollie Ollerton, former UK Special Forces Soldier and directing staff (DS) from Channel 4's SAS: Who Dares Wins, presented the awards to this year's winners following a lively lunch held at Glaziers Hall in London on 18 May 2023, and hosted by A-Team Group president and chief content officer Andrew Delaney.

The awards included more than 30 categories of RegTech solutions ranging from Best Regulatory Intelligence Solution to Best Solution for Digital Transformation in Regulatory Compliance, Best Compliance as a Service Solution, Best Trade Surveillance Solution, Best Solution for Regulatory Change Management, Best ESG Regulatory Solution, Best Solution for Institutional Crypto-Assets, and more.

An editor's recognition award for RegTech Industry Professional of the Year was presented to Matt Smith, CEO at SteelEye.

Thank you to all the vendors that entered A-Team Group's RegTech Insight Awards – Europe 2023, to our RegTech Insight community that voted for its preferred solutions, and to our independent, expert advisory board that worked in collaboration with our editorial team to select this year's winners.

Finally, our compliments to all the award winners, who should be deservedly proud of their achievement in a closely fought and highly competitive contest.

If you would like your company to be considered for future RegTech Insight Awards in Europe, the US or APAC, [click here](https://www.regtechinsight.com), where you can either enter your details to be notified when we open for nominations, or submit your entry if we are already open for nominations.



**Andrew Delaney**  
President & Chief Content Officer  
A-Team Group

I'd personally like to thank our advisory board for their time and valuable input.

**Chris Beevor**

UK MLRO and Group Compliance COO  
GAM

**Dawd Haque**

Global lead for Regulatory Market Initiatives, Transformation & Strategy, Deutsche Bank AG

**Ian Hollowbread**

MD Global Innovation Lead Safe & Compliant, ING

**Paul Lumsden**

Head of Regulatory Technology Change & Product Owner, Janus Henderson Investors

**Nitzan Solomon**

Head of Transaction Monitoring, AML & Fraud, Revolut

**Richard Maton**

Director, Aperio Strategy

**Nick Cook**

Former Regulator and Independent RegTech and FinTech Advisor

**Yasmine Li**

Head of Surveillance EMEA, Macquarie

**Paul Clulow-Phillips**

Co-Global Head of Compliance, Global Head of Capital Markets Surveillance, Société Générale

**Nireesh Rajah**

Managing Director, Head of Data, RegTech & Digital Practice, Grant Thornton

**Colin Ware**

Regulatory Product Manager, BNY Mellon

**Dr. Ruth Wandhöfer**

Global FinTech 50 influencer, WiF Powerlist Senior Leader 2020, Partner, Gauss Ventures



# RegHub.ESG

## Winner: Best Regulatory Intelligence Solution

Keeping up with the ever-changing regulatory landscape is a challenging task for organizations, particularly in areas such as sustainability and digital responsibility. The RegHub solution, developed by Deloitte, is there to help.

RegHub is an end-to-end SaaS solution that simplifies the complex process of regulatory compliance management. Our comprehensive regulatory library, the RegMiner module, provides access to a vast scope of publications in the ESG sphere, ensuring you remain up-to-date with the latest developments in the regulatory landscape.

RegHub provides many intelligent features that automatically connect regulations and provide valuable insights, making it easier than ever for your organization to adapt to regulatory changes. Additionally, the solution carries several modules to link these insights to impacted policies, controls, products, and processes, which enables impact and transparency across all areas of a business. Developed by Deloitte's compliance professionals and built on an understanding of the industry's risk and compliance ecosystems, RegHub is the next-generation RegTech solution. With RegHub, you can be certain that your compliance efforts are streamlined and efficient, leaving you free to focus on what matters most - running your business.



## About RegHub.ESG

### Deloitte.RegHub

[www.deloitte.com](http://www.deloitte.com)

Regulatory compliance is a complex and ever-changing landscape. Keeping up with its demands is a crucial challenge for businesses, especially for regulatory areas that are developing rapidly, such as sustainability and digital responsibility. To tackle this challenge, RegHub provides a complete solution for regulatory compliance management. This RegTech solution with integrated modules, such as RegMiner, offers a comprehensive regulatory library and analytical features, provides real-time tracking of global regulatory changes and facilitates efficient management of compliance and business change. RegHub, developed by Deloitte, helps businesses to streamline their compliance management in an end-to-end solution

## RegTech Industry Professional of the Year

### Matt Smith, CEO, SteelEye

Matt Smith is the co-founder and CEO of SteelEye, the company behind the first and currently only truly integrated surveillance solution. Matt has over 20 years of experience in technology and management across North America, Europe, and Asia. Prior to SteelEye, he was a senior RegTech product manager at Bloomberg, and before that the CIO at Noble Group. Throughout his career, Matt has driven major IT initiatives, including trading and risk systems and regulatory solutions.



## Winner: Best Solution for Digital Transformation in Regulatory Compliance

### AML Partners

AML Partners designs exceptional software solutions for AML Compliance and GRC. RegTechONE, our flagship solution, delivers fully integrated end-to-end AML Compliance and GRC in a no-code platform. AML Partners prioritizes end-user configurability, no-code ease, and platform integrations that transform effectiveness and efficiency. End-users can automate, extend, customize, and configure their workflows and platform tools--at will in-house for any process, any task, any Risk. At AML Partners, we innovate to fight financial crime and to help our clients succeed.

<https://amlpartners.com>



**AML  
Partners**  
RegTechONE

## Winner: Best Compliance as a Service Solution

### ComplyPortal

ComplyPortal is designed by compliance specialists to simplify your Regulatory Compliance Management on an easy-to-use cloud-based platform.

- Ready to use monitoring templates
- Powerful workflow automation
- Access from your phone, laptop, PC, or tablet
- Flexible configuration options for bespoke needs
- Reports and audit trail logs
- Schedule notifications and reminders for your team

More than just software, our compliance specialists are ready to help you get started. Make compliance simple – the ComplyPortal way..

[www.complyport.com](http://www.complyport.com)



**ComplyPortal**  
Compliance Made Simple

## Winner: Best Solution for Basel IV

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### ElysianNxt

ElysianNxt introduces real-time enterprise-wide finance and risk regulatory solutions to the finance industry based on the latest technologies. This results in more affordable software that enables firms to react faster & smarter to the ever-increasing changes in global compliance. With its built-in supervisory dictionary, unique UI/UX and overarching stress test and simulation framework, ElysianNxt challenges the traditional regulatory risk landscape by offering regulatory risk solutions based on best-of-breed risk management capabilities.

[www.elysiannxt.com](http://www.elysiannxt.com)



## Winner: Best Transaction Reporting Solution

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### eflow Global

For 20 years, eflow Global has provided financial firms with robust, reliable and forward-thinking compliance solutions designed to minimise reputational and financial risk. They're currently trusted by over 100 firms worldwide, from small family offices to multi-jurisdictional banks. Their offerings include solutions for trade surveillance, transaction cost analysis, eComms surveillance and transaction reporting, all of which were built using the company's proprietary development platform PATH.

<https://eflowglobal.com>



## Winner: Best Trade Surveillance Solution

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### Eventus

Eventus is a leading global provider of multi-asset class trade surveillance, market risk and anti-money laundering (AML) solutions. Its powerful, award-winning Validus platform is easy to deploy, customize and operate across equities, options, futures, foreign exchange (FX), fixed income and digital asset markets. Validus is proven in the most complex, high-volume and real-time environments of tier-1 banks, broker-dealers, futures commission merchants (FCMs), proprietary trading groups, market centers, buy-side institutions, energy and commodity trading firms, and regulators. The company's rapidly growing client base relies on Validus and Eventus' responsive support and product development teams to overcome its most pressing regulatory challenges.

[www.eventus.com](http://www.eventus.com)



## Winner: Best Client On-Boarding Solution

### Fenergo

Fenergo is the leading provider of customer journey, KYC, ESG compliance and CLM solutions for financial institutions. Its software digitally transforms and streamlines end-to-end CLM processes - from regulatory onboarding, data integration, client and counterparty data management, client lifecycle reviews, and remediation, to client offboarding. Fenergo is recognized for its in-depth financial services, regulatory expertise and out-of-the-box regulatory rules engine which ensures FI's are future-proofed against evolving KYC, AML, ESG tax and OTC derivatives-based regulations across 120+ jurisdictions.

[fenergo.com](https://fenergo.com)



**fenergo**

## Winner: Best Solution for Sell-Side Regulatory Compliance

### Gresham Technologies

Gresham Technologies plc (Gresham) is a global leader in mission-critical software and automation solutions for financial services. Our award-winning Clareti platform helps firms operate efficiently, manage risk, stay compliant, and focus on their customers knowing their data and digital processes are under control. Our Connect and Control Solutions, Data and Managed Services are proven at nearly 300 organisations worldwide including many of the world's largest banks, investment managers and corporates.

[www.greshamtech.com](https://www.greshamtech.com)



 **Gresham**

## Winner: Best Solution for Records Retention

### ION

ION Markets provides transformative technology and solutions to financial institutions dealing in equities, fixed income, foreign exchange, cleared derivatives, asset management, and secured funding. Our award-winning end-to-end solutions simplify clients' operations by automating the full trade lifecycle, providing tools to manage risk, and maximizing access to liquidity while giving real-time access to critical information required for timely operational decisions and execution on a global scale.

<https://iongroup.com/markets>



**ion**



## Winner: Best Know Your Customer Solution

### KYC Portal

KYC Portal CLM (KYCP) is an award winning, real-time, risk driven client lifecycle management platform with full ownership of policy automation - increasing operational efficiencies by over 60% across the board and redefining business relationship outreach. KYCP takes the guesswork and fragmented task delegation out of the due diligence and compliance process, through fully bespoke risk assessment programmes, infinite custom entity types, associated mandated documentation, data fields, interview questionnaires and required process checklists.

[www.kycportal.com](http://www.kycportal.com)



## Winner: Best Solution for Buy-Side Regulatory Compliance

### Moody's Analytics

Moody's Analytics provides financial intelligence and analytical tools to help business leaders make better, faster decisions. Our deep risk expertise, expansive information resources, and innovative application of technology help our clients confidently navigate an evolving marketplace. We are known for our industry-leading and award-winning solutions, made up of research, data, software, and professional services, assembled to deliver a seamless customer experience.

[www.moodyanalytics.com](http://www.moodyanalytics.com)



## Winner: Best Solution for Regulatory Change Management

### MCO MyComplianceOffice

MyComplianceOffice provides compliance management software that enables companies around the world to reduce their risk of misconduct. Our powerful platform lets compliance professionals demonstrate they are proactively managing the regulated activities of employees, third-party vendors and other agents of the firm. Available as a unified suite or à la carte, our easy-to-use and extensible SaaS-based solutions get clients up and running quickly and cost-efficiently.

[www.mycomplianceoffice.com](http://www.mycomplianceoffice.com)





## Winner: Best Regulatory Consultancy - Europe

### Novatus Advisory

Novatus means to renew, refresh and change: this is how we operate. We aim to challenge the traditional consultancy model in order to deliver a new form of advisory to our clients, and raise the expectations. Novatus Advisory combines market-leading RegTech tools with expert advisors to provide tailored and effective solutions for financial services firms. Our unique approach to the regulatory environment enables us to drive impact through action and innovation.

[www.novatusadvisory.com](http://www.novatusadvisory.com)



**novatus**  
advisory

## Winner: Best Solution for Managing Financial Crime

### Oracle

For over 25 years, Oracle has been at the forefront of technology and innovation — helping financial institutions, irrespective of their size, fight money laundering and other financial crimes to create a 'safer world'. Oracle Financial Crime and Compliance Management (FCCM) is a market-leading solution suite that leverages AI, ML, and graph to modernize AML Compliance programs, helping address the most demanding compliance needs. The suite delivers proven capabilities for customer onboarding, sanctions screening, due diligence, anti-money laundering, enterprise-wide investigation, and regulatory and operational reporting.

[www.oracle.com/in/financial-services/aml-financial-crime-compliance](http://www.oracle.com/in/financial-services/aml-financial-crime-compliance)



**ORACLE**

## Winner: Best Solution for Sanctions Management

### Refinitiv, an LSEG business

Refinitiv, an LSEG (London Stock Exchange Group) business, is one of the world's largest providers of financial markets data and infrastructure. With \$6.25 billion in revenue, over 40,000 customers and 400,000 end users across 190 countries, Refinitiv is powering participants across the global financial marketplace. We provide information, insights, and technology that enable customers to execute critical investing, trading and risk decisions with confidence. By combining a unique open platform with best-in-class data and expertise, we help to drive performance, innovation and growth for our customers and partners.

[www.refinitiv.com](http://www.refinitiv.com)



**REFINITIV®**

## Winner: Best Solution for Tax Compliance

### Regnology

Regnology is a leading technology firm on a mission to bring safety and stability to the financial markets. With an exclusive focus on regulatory reporting and more than 34,000 financial institutions, 60 regulators, international organizations and tax authorities relying on our solutions, we're uniquely positioned to bring greater data quality, efficiency and cost savings to all market participants. With over 800 employees in 15 countries and a unified data ingestion model powering our work, our clients can quickly implement and derive value from our solutions and easily keep pace with ongoing regulatory changes. Regnology was formed in 2021 when BearingPoint RegTech, a former business unit of BearingPoint Group, joined forces with Vizor Software, a global leader in regulatory and supervisory technology. For more information about Regnology, connect with us on [LinkedIn](#) and [Twitter](#).

[www.regnology.net](http://www.regnology.net)



## Winner: Best Regulatory Reporting Solution

### S&P Global Market Intelligence Cappitech

S&P Global Market Intelligence Cappitech provides regulatory reporting, best execution analysis and business intelligence solutions that meet the fast-evolving needs of the largest and most complex financial and non-financial institutions. Our cloud-based, cross-regulation SaaS platform fully automates the reporting process and provides a comprehensive view on a single, intuitive dashboard for reporting regimes such as EMIR, MiFID, SFTR, FinfraG, SEC, CFTC, Canadian reporting, ASIC, MAS, JFSA and others.

[www.cappitech.com](http://www.cappitech.com)



**S&P Global**

## Winner: Best ESG Regulatory Solution

### SIX

SIX is a leading global provider of data and value-added services for the financial markets and investment industry. Aggregated in real-time from 5,000 worldwide sources, Financial Information's data offering covers all the major trading venues. The database includes reference, pricing and market data, corporate actions, regulatory, tax, ESG and alternative data for nearly 30 million instruments. SIX provides a wide range of services supporting sustainability, including ESG RegRisk Management, ESG Data Hub and ESG Indices.

[www.six-group.com/en/home.html](http://www.six-group.com/en/home.html)



## **Winner:** Best e-Comms Surveillance Solution

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### **SteelEye**

Turn supervision into Super Vision. SteelEye is the first and only fully integrated trade and communications surveillance solution. We empower financial firms with the data-driven tools and complete insights they need to focus on what matters, all from a single platform. SteelEye's state-of-the-art algorithms and intelligent alerts proactively detect compliance breaches, while our holistic data model – which combines communications, trades, orders, news, and market data – provides intelligent insights and deep analytics.

**steel-eye.com**



## **Winner:** Best Data Privacy Solution

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### **Solidatus**

Solidatus serves data-rich, highly regulated companies, like HSBC and BNY Mellon, by providing an organization-wide, connected governance solution that goes beyond traditional data management offerings. With Solidatus, users can rapidly build enterprise data blueprints that connect their data to the processes that create them, the policies that guide them, and the obligations that regulate them.

**solidatus.com**



# More RegTech Insight Awards Europe 2023 winners



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Best Solution for EMIR

**ACA Group**

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Best Solution for Stress Testing

**Adenza**

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Best Solution for FRTB

**Alveo**

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Best Solution for DORA  
(Digital Operational Resilience Act)

**AQMetrics**

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Best Regulatory Data Solution

**Bloomberg**

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Best Analytics Solution to Address Capital  
Requirements/Liquidity Risk

**Cassini Systems**

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Best RegTech Start Up

**FinCrime Dynamics**

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Best Cloud-Based Solution for Regulatory Compliance  
**InsiderList**

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Best Solution for Institutional Crypto-Assets  
**MAP S.Platis**

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Best Solution for Managing Conduct Risk  
**NICE Actimize**

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Best Solution for Benchmark Regulation  
**Rimes**

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Best Solution for Managing Operational Risk  
**Salt Communications**

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Best AI Solution for Regulatory Compliance  
**Solidus Labs**

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# A-TEAMGROUP

For Marketing: [www.a-teamgroup.com](http://www.a-teamgroup.com)

For Content: [www.a-teaminsight.com](http://www.a-teaminsight.com)