A-Team Innovation
Awards 2023

A-Team Innovation
Awards 2023
Winners' Report

A-Team Training

Empower your teams with A-Team Training's expert-led training in capital markets' data, technology and regulation

On-Demand | In Person | Virtual Briefings

ESG Foundation

Gain solid foundational knowledge about how ESG - Environmental, Social and Governance - is shaping financial markets, and the impact of ESG regulations on data and technology, with our on-demand course

Type: On-demand

Duration: 2.5 hours

Market Abuse Fundamentals

Gain solid foundational knowledge about Market Abuse Regulations (MAR) and understand recent regulatory actions against firms and individuals (and how to avoid it) with our MAR Fundamentals course.

Type: On-demand with Live Q&A

Duration: 2 hours

Insider's View from Brussels

Make sure you are up-to-date on the latest about key legislation being negotiated in Brussels by the EC, EU Parliament and Council, covering banks and fund management, with our quarterly regulatory updates.

Type: Quarterly live virtual sessions with Q&A

Date: May 9, 2023
Duration: 2 hours

Meet our Expert Trainers

Hany Choueiri
Chief Data & Privacy Officer

Hany Choueiri, a top data influencer, advises companies on ESG best practices and advocates Stakeholder Capitalism, leveraging his extensive experience in private and public sectors

Katharine Leaman
Regulatory Compliance Consultant

Katharine, a regulatory compliance consultant with Leaman Crellin Ltd, has 30+ years of experience in regulatory risk and governance, including at the FCA. She trains teams at major banks on regulatory matters.

Dr. David P. Doyle
EU Policy Advisor

Dr. David P. Doyle is an EU policy advisor and expert, and former diplomat, who specialises in EU financial services regulation. He interfaces on a regular basis with key policy-makers and legislators in the corridors of all three EU Institutions.

Learn More and Register to Attend

a-teaminsight.com/training

About A-Team
Innovation Awards 2023

Congratulations to the winners of A-Team Group's Innovation Awards 2023. The awards, now in their third year, celebrate innovative projects and teams across vendor and practitioner communities that make use of new and emerging technologies to deliver high-value solutions for financial institutions in capital markets. The awards were presented virtually on 23 March, 2023.

The awards included 51 categories across A-Team Group's Data Management Insight, RegTech Insight, TradingTech Insight, and ESG Insight news channels. They ranged from most innovative AI in regulatory compliance initiative to most innovative diversity, equity and inclusion strategy, most innovative KYC investigation and due diligence, most innovative ESG data solution, most innovative cloud-based trading analytics initiative, most innovative data-driven transformation project, and most innovative team.

Thank you to all the vendors and practitioners that entered their ground-breaking solutions and services, and to A-Team Group's independent, expert advisory board that worked in collaboration with our editorial team to select this year's winners.

If you would like your company to be considered for future A-Team Innovation Awards, visit **here**, where you can either enter your details to be notified when we open for nominations, or submit your entry if we are open for nominations.

Andrew Delaney
President & Chief Content Officer
A-Team Group

I'd personally like to thank our advisory board for their time and valuable input.

Julia Bardmesser
Former SVP, Head of Data, Architecture and Salesforce Development
Voya Financial

Kathryn Zhao
Head of Electronic Trading
Cantor Fitzgerald

Peter Jackson
Director, Chief Data and Analytics Officer
Carruthers & Jackson
Co-Author of 'Data Driven Transformation'

Anthony Ta
Digital Project Director/Innovation & Watch Leader
Societe Generale

Ian Hollowbread
MD Global Innovation Lead Safe & Compliant
ING

Ben Clinch
Principle Enterprise Architect – Data Governance
BT

Jane Gavronsky
Chief Operating Officer
FINOS Foundation

Moody's Analytics helps you to validate entities upon creation, link data between disparate sources, and keep your 'golden record' up to date.

» Third-party reference data

» Entity relationships

» Mergers & acquisitions

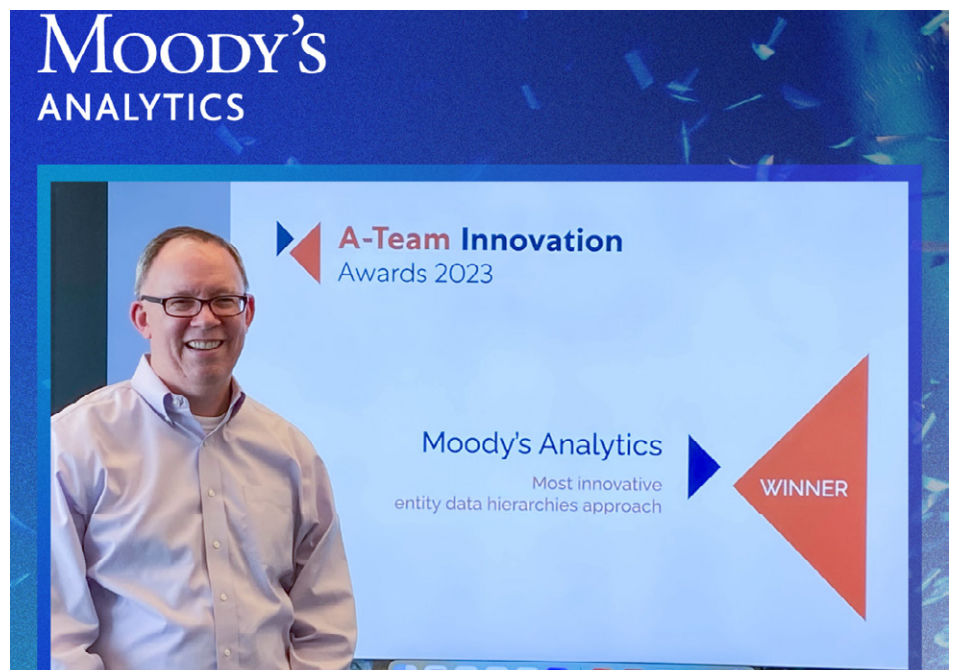Visit **moodysanalytics.com/master-data**

MOODY'S

ANALYTICS

Moody's Analytics

Winner: Most innovative entity data hierarchies approach

Moody's Analytics Solutions for Third-Party Master Data

As organizations search for ways to optimize their data management strategies, master data management (MDM) rises to the top as an effective, secure, and scalable solution. Third-party master data, when used in conjunction with an enterprise MDM system, enables an organization to control its data and unlock numerous financial and productivity growth opportunities. Moody's Analytics provides master data, including firmographics, company size metrics, and corporate hierarchies. With industry-leading coverage of over 450 million entities across a broad range of entity types, our pre-mastered data is available via a wide range of applications, APIs and proprietary connectors to ensure seamless delivery to virtually any third-party or in-house data management system.

Matt McDonald, Managing Director of Global Content

About Moody's Analytics

Moody's Analytics provides financial intelligence and analytical tools to help business leaders make better, faster decisions. Our deep risk expertise, expansive information resources, and innovative application of technology help our clients confidently navigate an evolving marketplace. We are known for our industry-leading and award-winning solutions, made up of research, data, software, and professional services, assembled to deliver a seamless customer experience.

www.moodysanalytics.com

SmartStream Technologies

Winner: Most innovative AI in regulatory compliance initiative

SmartStream's solutions use the most advanced technologies to help customers realise their digital strategies and create leaner post-trade operations, allowing them to meet today's, and tomorrow's challenges with confidence.

With over four decades of experience behind us, SmartStream has become a trusted partner that deliver automation and control to many of the world's top 100 banks, capital markets, buy-side firms and corporations. The demands of the industry particularly at times of transformation has allowed us to grow, introducing new solutions and winning multiple industry accolades.

Our wealth of practical knowledge, coupled with a constant focus on innovation, has made us an industry leader. We invest in R&D heavily and our innovations team is dedicated to researching ways in which advanced technologies can be deployed. This ensures that our technology continues to lead the way in efficiency, reliability and relevance.

SmartStream's solutions and services span the transaction lifecycle and incorporate the latest AI and machine learning technologies. These can be accessed in a variety of ways, for example, via APIs and micro-services, or deployed as managed services and cloud environments.

About SmartStream Technologies

SMARTSTREAM

www.smartstream-stp.com

SmartStream is a recognised leader in financial transaction management solutions that enable firms to improve operational control, reduce costs, build new revenue streams, mitigate risk and comply accurately with the regulators.
By helping its customers through their transformative digital strategies, SmartStream provides a range of solutions for the transaction lifecycle with AI and machine learning technologies embedded - which can be deployed in the cloud or as managed services.
As a result, more than 2,000 clients - including the world's top 100 banks, rely on SmartStream's solutions to deliver greater efficiency to their operations.

Refinitiv,
an LSEG business

Winner: Most innovative use of open source/cloud technologies

Refinitiv, an LSEG (London Stock Exchange Group) business, is one of the world's largest providers of financial markets data and infrastructure – providing information, insights, and technology that enable customers to execute critical investing, trading and risk decisions with confidence. By combining a unique open platform with best-in-class data and expertise, we connect people to choice and opportunity – driving performance, innovation and growth for our customers and partners. Now, as part of LSEG we are underpinned by the strength and stability of a more than 300-year-old organisation, broadening our capacity to positively impact the financial community — be it in trading and banking, investment, wealth, customer and third-party risk, and enterprise data solutions.

We draw on cleaner, richer, more readily accessible data to support the use of advanced technologies like AI and machine learning – helping our customers gain greater insight, fuel more rapid innovation, and successfully navigate this time of unparalleled change.

With core principles of partnership and an open model approach, we provide more choice to customers and give them greater opportunity to take on complexity, and scale efficiently. We do so know that by advancing our customers, we drive progress for the entire financial community.

Matt Eddy, Head of Real-Time Customer Managed Services

About Refinitiv, an LSEG business

REFINITIV®

An LSEG Business

refini.tv/3SFKDwP

Refinitiv, an LSEG (London Stock Exchange Group) business, is one of the world's largest providers of financial markets data and infrastructure. With over 40,000 customers and 400,000 end users across 190 countries, Refinitiv is powering participants across the global financial marketplace. We provide information, insights, & technology that enable customers to execute critical investing, trading and risk decisions with confidence. By combining a unique open platform with best-in-class data and expertise, we connect people to choice & opportunity – driving performance, innovation and growth.

Rimes

Winner: Most innovative data quality initiative

The Rimes ESG Master data solution is a multi-level managed data service that provides institutional investors with a single golden source of all their ESG data. Users seamlessly consume ESG Master data into their internal and downstream workflows via data feed, desktop API and cloud. Rimes applies proprietary technology to validate all vendor-provided ESG data.

This innovative approach provides access to proprietary mastering algorithms and ensures that security identifiers are mapped to all entities and securities in clients' portfolios and linked to millions of ESG data points from over 30 ESG vendors. Clients have the ability to interact with and validate the data via Rimes' online platform to customize hierarchies, propagation logic and overrides.

"The ESG mastering exercise is about understanding the minutia of ESG data, allowing for multiple sources of the truth and how data is propagated across the entity hierarchy. It is essential that someone really understands the actual data in order to master it—this is where the Rimes ESG team in uniquely positioned."

Elisabeth Seep, Rimes ESG Product Manager

About Rimes

Rimes provides transformative data management, ESG and investment intelligence solutions to the global investment community. Driven by our passion for solving the most complex data problems, we partner with our clients to help them make better investment decisions using accurate information and industry-leading technology. Headquartered in New York and London, Rimes serves its global clients through offices in Europe, Americas and Asia Pacific.

www.rimes.com

S&P Global
Market Intelligence

Winner: Most innovative diversity, equity and inclusion strategy

S&P Global Market Intelligence is a world-leading provider of financial information services and a division of S&P Global (NYSE: SPGI).

Our team of experts delivers unrivaled insights and leading data and technology solutions, partnering with customers to expand their perspective, operate with confidence, and make decisions with conviction.

We bring transparency, cutting-edge research, and business intelligence to markets worldwide. Our deep sector-specific intelligence includes energy, financial institutions, fintech, industrials, maritime & trade, metals & mining, TMT, real estate, retail, and construction.

A wide range of customers rely on us across capital markets, corporations, professional services, governments, academia, and to commercial banks. With a deep understanding of our customers' needs, we stand alongside our clients to help solve their most complex business challenges.

First established in 1860, our legacy is built on finding and knowing the essential. Most recently, in 2022, S&P Global Market Intelligence and IHS Markit's Financial Services division united with the goal of helping customers harness the volume and velocity of data in ways that unlock progress for us all.

Adam Kansler, President

About S&P Global Market Intelligence

S&P Global
Market Intelligence

At S&P Global Market Intelligence, we understand the importance of accurate, deep, and insightful information. Our team of experts delivers unrivaled insights and leading data and technology solutions, partnering with customers to expand their perspective, operate with confidence, and make decisions with conviction.

spglobal.com/marketintelligence

Novatus Advisory

Winner: Most innovative team

Novatus Advisory was established to raise the expectations firms have of their advisors. This means developing innovative offerings across both technology and advisory. Our practical industry experience allows us to identify where technology can support, enhance, and improve day-to-day operations and ensure clients remain compliant with global regulations. By combining these tools with our expert advisors, we provide a truly unique offering to the market.

How is the Novatus team unique?

Our Advisory function is led by industry leading experts across transaction reporting, risk, compliance and ESG. This ensures we can help firms solve their most complex challenges. The Advisory function works with the RegTech development team - data scientists, software engineers, back- and front-end developers – to develop market leading technology solutions.

Our flagship RegTech product – the Novatus TRA (Transaction Reporting Analysis) Tool – was created to help firms meet their legal obligation to reconcile transactions to source systems. This makes us the only provider that performs full reconciliation of all trades with an interactive user interface and transparency back to the underlying regulation.

We are currently developing other RegTech products for compliance, risk and ESG. Our next product – the Novatus Compliance Management Tool ("CMT") will be launched in April 2023. This streamlines and digitises compliance for firms, thus significantly reducing the risk of non-compliance with regulations and cost.

Members of the Novatus Advisory and RegTech teams

About Novatus Advisory

www.novatusadvisory.com

Novatus means to renew, refresh and change: this is how we operate. We aim to challenge the traditional consultancy model in order to deliver a new form of advisory to our clients, and raise the expectations.
Novatus Advisory combines market-leading RegTech tools with expert advisors to provide tailored and effective solutions for financial services firms. Our unique approach to the regulatory environment enables us to drive impact through action and innovation.

West Highland Support Services

Winner: Most innovative trading infrastructure monitoring approach

West Highland Support Services is the leading vendor agnostic, market data solutions company. We operate exclusively in the financial services sector and are recognized across the globe as the Industry authority and leading thought partner for market data, information, referential and professional services for over 25 years.

Our mission is to optimize client market data environments and ensure their TCO is at optimal levels while maintaining business requirements.

We are industry pioneers in remote support and market data engineering dedicated to providing;

System Architecture / Design	Cloud based Services	Managed Services	Compliance Audit Reviews
Contract Negotiations	Inventory Mgt.	Expense Mgt.	Executive Consulting Mgt.

West Highland possesses Subject Matter Expertise across all verticals and is best suited for this exercise. In our tenure we have completed over 25 successful transformation and optimization programs that have streamlined client environments, business processes, commercial agreements, and reduced annual market data run rates in excess of 100M per year. Innovation is at the heart of our business strategy we work with our clients to help them resolve issues that affect their business stability and ensure it continues to generate revenue for the firm. This includes the following innovation:

- Web Access Control Manager SEC SCI Regulation – The only product that achieves SEC SCI compliance for FI and FX single bank portals by managing password and policies on resetting passwords
- Zabbix Open Source Enterprise Monitoring – Managing, Alerting and Supporting clients Market Data Platforms and Applications availability
- Data Notification Manager – The only tool in the industry that automates identifying application impact from vendor data notifications
- Intelligent Data Platform – Authenticates and Authorizes clients enterprise data usage with their market data desktops
- Robotic Process Automation – Developed Westy BOTS to help client process invoices, usage declaration to exchanges, identify cost savings from month over month usage and cost analysis
- Application Latency for Vendors and Exchanges (ALIVE) – Measure vendor and exchange latency across the lifecycle of data from the exchange to the client's application. Identifying the least latent data from

Rob Hau, CTO

About West Highland Support Services

www.westhighland.net

The leading vendor agnostic, market data solutions company. We operate exclusively in the financial services sector & are recognized as the Industry authority & leading thought partner for market / referential data solutions & professional services for over 25 years.

Our mission is to optimize client environments & ensure TCO is at peak levels while maintaining business requirements.

Innovation is at the heart of our business strategy. We work with our clients to help them resolve issues that affect their business stability & ensure it continues to generate revenue for the firm.

Winner: Most innovative trade surveillance solution

ACA Group

ACA Group (ACA) is the leading governance, risk, and compliance (GRC) advisor in financial services. We empower our clients to reimagine GRC and protect and grow their business. Our innovative approach integrates advisory, managed services, distribution solutions, and analytics with our ComplianceAlpha® technology platform with the specialized expertise of former regulators and practitioners and our deep understanding of the global regulatory landscape.

www.acaglobal.com

Garth Bungard, Director of Client Development

Winner: Most innovative implementation of knowledge graph technologies

Blackswan Technologies

BlackSwan Technologies' platform ELEMENT™ enables organisations to build enterprise AI applications at scale for any domain quickly and at a fraction of the cost of alternatives. It incorporates industry-leading knowledge graphs to provide contextual information on entities. BlackSwan and its global partners also provide ready-made industry-proven applications that are fully customisable for rapid ROI. ELEMENT is generating billions of dollars in economic value through digital transformation at renowned global brands.

www.blackswantechnologies.ai

Lior Perry, Chief Engagements Officer

Winner: Most innovative data standards initiative

Bloomberg

Financial Instrument Global Identifier (FIGI) is an established global standard of the Object Management Group, as well as an X9 American National Standard. The FIGI has also been adopted as a standard by the Brazilian Association of Technical Standards (ABNT). The FIGI standard is issued by Bloomberg L.P. and Kaiko as a Certified Providers and distributed by Bloomberg L.P. as the Registration Authority under the auspice of OMG and X9..

www.openfigi.com

L: Steve Meizanis, Global Head of Symbology and LEI Services | R: Richard Robinson, Chief Strategist, Open Data and Standards

Winner: Most innovative hosted/managed
enterprise data management: EDM-as-a-Service

BNY Mellon

BNY Mellon is a global investments company dedicated to helping its clients
manage and service their financial assets throughout the investment lifecycle.
As of Dec. 31, 2022, BNY Mellon had $44.3 trillion in assets under custody and/
or administration, $48T data assets, and $1.8 trillion in assets under management.
BNY Mellon is the corporate brand of The Bank of New York Mellon Corporation
(NYSE: BK).

www.bnymellon.com

Team Data Vault, BNY's Integrated Data Platform

Winner: Most innovative use of AI in
an enterprise data management initiative

Canoe Intelligence

Canoe Intelligence redefines alternative investment data processes for leading
institutional investors, capital allocators, asset servicing firms, and wealth
managers. By combining industry expertise with the most sophisticated data
capture technologies, Canoe's technology automates the highly-frustrating,
time-consuming, and costly manual workflows related to alternative investment
document and data management, extraction, and delivery. Over 200 companies
like Blackstone, Carlyle, State Street, SEI, and Hamilton Lane leverage Canoe
to refocus capital and human resources on business performance and growth,
increase efficiency, and gain deeper access to their data.

www.canoeintelligence.com

Canoe's Product Team

Winner: Most Innovative ESG regulatory
reporting solution

Clarity AI

Clarity AI is a sustainability technology platform that uses machine learning and
big data to deliver environmental and social insights to investors, organizations,
and consumers. Clarity AI's capabilities are an essential tool for end-to-end
sustainability analysis related to investing, corporate research, benchmarking,
consumer ecommerce, and regulatory reporting. As of January 2023, Clarity AI's
platform analyzes more than 70,000 companies, 360,000 funds, 198 countries,
and 199 local governments, which represents the broadest data coverage in the
market with up to 13 times more than other leading players.

clarity.ai

Winner: Most innovative KYC investigation
& due diligence

eClerx

eClerx's Compliance Manager is an innovative KYC and Client Onboarding Solution that offers financial institutions and Corporates state-of-the-art technology, advanced automation, workflow, and real-time reporting capabilities. The solution delivers a highly automated, scalable, and cost-efficient digital end-to-end KYC and Client Onboarding journey. Compliance Manager helps Financial Institutions and Corporates to significantly reduce onboarding times while improving the customer experience and reducing cost. It streamlines the initial onboarding and ongoing review process, automates the collection and verification of customer information, and enables compliance with ever-evolving regulatory requirements.

www.eclerx.com

Mehul Ramani, Tech Lead

eClerxMarkets

Winner: Most innovative cloud-based
market data delivery

FactSet

FactSet helps the financial community to see more, think bigger, and work better. Our digital platform and enterprise solutions deliver financial data, analytics and open technology globally. Clients across the buy-side and sell-side as well as wealth managers, private equity firms and corporations achieve more every day with our comprehensive and connected content, flexible next-generation workflow solutions, and client-centric specialized support. We are proud to have been recognized amongst the Best Places to Work in 2023 by Glassdoor.
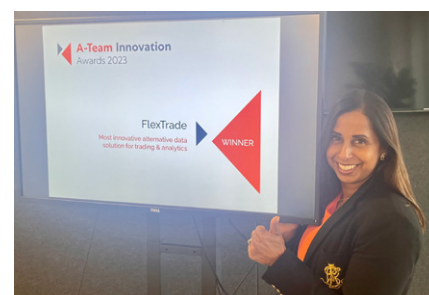
www.factset.com

FACTSET

Winner: Most innovative alternative data
solution for trading & analytics

FlexTrade

FlexTrade Systems is a global leader in high performance multi-asset execution management and order management systems for equities, fixed income, foreign exchange, futures, and options. A pioneer in the field, FlexTrade is internationally recognized for introducing FlexTRADER®, the world's first broker-neutral, execution management trading system, which allows clients to completely control and customize their execution workflows through a comprehensive ability to search/access liquidity while maintaining the confidentiality of their trading strategies.

flextrade.com

Anita Karppi, SVP – Sales and
Business Development, EMEA

FLEXTRADE

Winner: Most innovative API framework for trading

FXSpotStream

Launched in December 2011, FXSpotStream eliminates the costs of execution for price takers and offers banks and clients the ability to interact bilaterally and in a fully transparent manner.
Banks connected to FXSpotStream serve as Liquidity Providers to clients, who can access a GUI or single API, from co-location sites in New York, London and Tokyo, to communicate with all Liquidity Providing banks.

www.fxspotstream.com

Members of FXSpotStream's EMEA Team

Winner: Most Innovative ESG data solution

GoldenSource

GoldenSource SaaS solutions enable financial firms to conduct business, manage risks, comply with regulations and control costs with a trusted source of complete, consistent data. Our solutions source, standardize, validate, analyse and deliver mission critical market, reference, ESG, customer and product data to the people and systems that need it. GoldenSource ESG Impact helps firms take truly informed action on their ESG data - wherever it comes from, whatever form it arrives in.

www.thegoldensource.com

Volker Lainer, VP, Product Management and Regulatory Affairs

Winner: Most innovative unstructured
data management project

Grant Thornton

Grant Thornton UK LLP combines global scale with local insight, quality and understanding to give clients the assurance, tax, and advisory services they need to realise their ambitions. We make business more personal by investing in building relationships. We help dynamic organisations unlock their potential for growth by providing meaningful, forward-looking advice. We work at our client's pace to bring both flexibility and rigour. We celebrate fresh thinking and diverse perspectives to bring positive progress.

www.grantthornton.co.uk

Nikhil Asthana, Head of Data Transformation and Automation

Winner: Most innovative data lineage solution

Instrumentix

Instrumentix is a leader in performance monitoring and analytics for global financial markets.
xMetrics®, our unique modular solution, provides a real-time view of end-to-end performance of some of the world's most complex trading environments.
Committed to continuous innovation we ensure trading businesses receive the actionable insight and transparency needed to optimise execution outcomes, drive business improvement, and comply with regulatory requirements.
We take immense pride in partnering with our clients to help them gain competitive edge.

www.instrumentix.co.uk

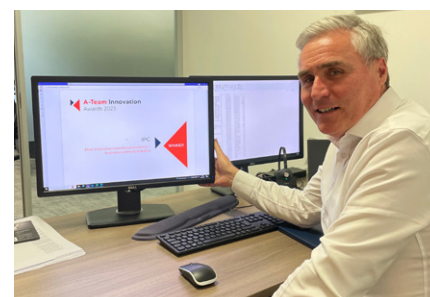Clive Posselt, Commercial Director

Winner: Most innovative operational resilience / business continuity initiative

IPC

C is a technology and service leader powering the global financial markets.
We leverage 50 years of experience in delivering specialized communications solutions, helping clients anticipate, change and solve problems, setting the standard with industry expertise, exceptional service, and comprehensive technology. With a customer-first mentality, IPC brings together one of the largest and most diverse global financial ecosystems spanning all asset classes and market participants. As the enabler of this ecosystem, IPC empowers the community to interact, transact and react to market changes and challenges, and we collaborate with our customers to make them secure, productive, compliant, and connected. Follow us on **Linkedin** and **Twitter**.

ipc.com

Terry Ewin, VP Trading Systems Sales EMEA

Winner: Most innovative financial technology executive

KOR Financial

U.S.-based KOR Reporting is a CFTC-licensed and the first independent Swap Data Repository ("SDR"), delivering solutions that enable market participants to meet reporting mandates for OTC derivative trades and lifecycle events.
KOR Financial is a fintech company that develops future-minded technology innovations explicitly tailored for processing and management of trade life cycles in derivatives markets. KOR replaces many common reporting functions performed internally by clients today and solves long-standing systemic industry reporting challenges with novel innovations and pioneering technology. The KOR team comprises former trade repository heads, SMEs, and technology pioneers, creating the first intelligent transactional derivatives platform.

www.korfinancial.com

Jonathan Thursby, Founder and CEO

Winner: Most innovative client onboarding
and lifecycle management solution

KYC Hub

KYC Hub enables organizations to mitigate the risk of financial crime and reduce costs by an automated and configurable risk ops infrastructure and better data insights. Our RPA-powered workflow engine enables onboarding and due diligence workflows for any use case. Powered by hundreds of AI-enabled verifications and our proprietary knowledge graph of individuals and entities, we empower organizations to tailor their onboarding and risk assessment and reduce costs, friction, and risk.

www.kychub.com

Jay Rao, CEO

Winner: Most innovative conduct risk / SMCR /
Know Your Employee (KYE) / staff surveillance project

MCO

MyComplianceOffice provides compliance management software that enables companies around the world to reduce their risk of misconduct. Our powerful platform lets compliance professionals demonstrate they are proactively managing the regulated activities of employees, third-party vendors and other agents of the firm. Available as a unified suite or à la carte, our easy-to-use and extensible SaaS-based solutions get clients up and running quickly and cost-efficiently.

mycomplianceoffice.com

Brian Fahey, CEO

Winner: Most innovative cloud-based
trading analytics initiative

Numerix

Numerix is the leading provider of innovative capital markets technology applications and real-time intelligence capabilities for trading and risk management. Committed to out-of-the box thinking, the exploration and adoption of latest technologies, Numerix is dedicated to driving a more open, fintech oriented, digital financial services market. Built upon a 20+ year analytical foundation of deep practical knowledge, experience and IT understanding, Numerix is uniquely positioned in the financial services ecosystem to help its users reimagine operations, modernize business processes and capture profitability.

www.numerix.com

Nestor Nelson, Chief Information Officer,
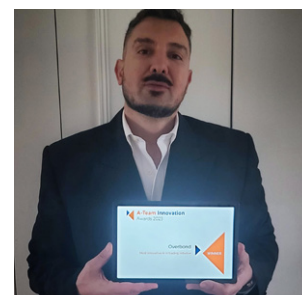Senior Vice President, Program Management Office

Winner: Most innovative AI in trading initiative

Overbond

Overbond is an AI quantitative analytics provider for institutional fixed income capital markets. Overbond provides data aggregation solutions and a comprehensive suite of AI algorithms for bond trading automation, pricing and liquidity discovery, pre-trade signals and market surveillance.

www.overbond.com

Vuk Magdelinic, CEO

Overbond

Winner: Most innovative cyber security initiative
Winner: Most innovative trade data management & reconstruction

Siepe

Siepe is a leading provider of innovative financial data and technology solutions for complex decision-making and workflow management. Through its intuitive managed platform, firms are able to integrate institutional best practices across technology, services and operational processes. Siepe's cloud-based solutions suite offers flexible front, middle and back-office components that can stand alone or integrate with other systems. Siepe's seasoned experts ensure agile and seamless end-to-end implementation and offer continuous support and guidance. Siepe has demonstrated success across the investment management industry, assisting emerging managers to more complex, mature hedge funds in reducing operational risks, enhancing workflow processes, and driving competitive advantages.

siepe.com

CEO, Michael Pusateri

Siepe®

Winner: Most innovative sanctions and PEPs data solution

S&P Global Market Intelligence

At S&P Global Market Intelligence, we understand the importance of accurate, deep, and insightful information. Our team of experts delivers unrivaled insights and leading data and technology solutions, partnering with customers to expand their perspective, operate with confidence, and make decisions with conviction.

spglobal.com/marketintelligence

Sadhana Mohideen, Director, Sanctions Screening Product Manager

S&P Global
Market Intelligence

Winner: Most innovative trade & transaction reporting initiative

S3

S3 is a full-service compliance and trade analytics software company that serves many of the world's largest financial institutions and exchanges. S3's sleek, easy-to-use platform features trade analysis tools such as Global Best Ex & TCA for Options, Equities, and Fixed Income, as well as regulatory reporting tools for Trade Surveillance, SEC Rules 605 and 606 reporting, Consolidated Audit Trail (CAT) reporting, and MiFID II / MiFIR reporting. **LinkedIn: S3 Twitter: @S3Bestex**

s3.com

Mark Davies, CEO

Winner: Most innovative solution for front-office/trading

smartTrade Technologies

smartTrade Technologies is a leading global provider of multi-asset electronic trading platforms, helping customers achieve business growth through our cost-efficient, technologically advanced secure private SaaS end-to-end solution. smartTrade in-house hosted solutions support Foreign Exchange, Fixed Income, Crypto and Derivatives asset classes with connectivity to 130+ liquidity providers, enabling tailored aggregation, smart execution, risk management, order management, analytics, payments and multi-channel distribution. smartTrade supports a variety of regulated and unregulated Financial Institutions.

www.smart-trade.net
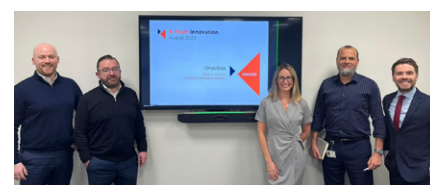

Guillaume Spay, Head of Sales - EMEA

Winner: Most innovative regulatory reporting solution

UnaVista

UnaVista is reframing regulatory reporting through data insights, workflow automation and easy onboarding. Trusted by firms globally, UnaVista simplifies compliance with derivatives reporting regulations in multiple jurisdictions, including EMIR and MiFIR/MiFID II. Reporting billions of transactions annually through LSEG's robust infrastructure, UnaVista removes reporting uncertainty and complexity – so regulation is no longer a hindrance, it's an opportunity. UnaVista is an LSEG Post Trade business.

www.lseg.com/en/post-trade/unavista

The team from UnaVista, An LSEG Business, celebrating their award win

Winner: Most innovative data-driven transformation project

Vault Platform

Vault Platform is the operating system for corporate integrity. With our Active Integrity solution, your people can report incidents of misconduct which you can then resolve and prevent in the Resolution Hub. By identifying patterns before they become problems and unlocking true oversight, you can prevent accidental oversights and actively assess your ethical health. For the good of your people and the good of your organization, choose Vault. It's just good business.
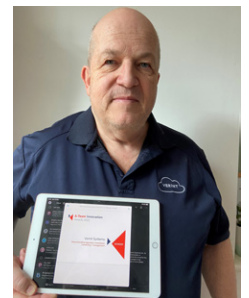
vaultplatform.com

vault.

Winner: Most innovative regulatory compliance monitoring / management

Verint Systems

Trusted by over a thousand financial organizations globally, Verint® is at the forefront of answering new compliance challenges with a unique combination of state-of-the-art, secure capture and archive solutions, augmented with automation and industry-leading transcription and voice analytics capabilities. Verint's innovative Human Compliance™ approach shifts the focus from technologies to the alignment of data around people. By deploying Verint Financial Compliance, companies can bridge gaps in compliance data and drive better results across recording and surveillance activities.

www.verint.com/financial-compliance

Phil Fry, Vice President,
Financial Compliance Strategy

VERINT.

Highly commended
A-Team Innovation
Awards 2023 winners

Most innovative data-driven transformation project
Mosaic Smart Data

Most innovative solution for front-office/trading
Numerix

Most innovative use of distributed ledger technology/
blockchain
R3

More A-Team Innovation
Awards 2023 winners

Most innovative use of distributed ledger technology/
blockchain
+SUBSCRIBE

Most innovative data orchestration for regulatory
reporting
Apex Regulatory Capital, LLC

Most innovative smart trader desktops & workflows
Cosaic - Finsemble

Most innovative use of alternative data in regulatory
compliance
DYDON AI

Most innovative market data solution
Iress

Most innovative project 2022
MDOTM

Most innovative data architecture project
NeoXam

Most innovative young persons' education/
recruitment ('academy') programme
Qomply

Most innovative buy-side trading workflow / STP
Secretarium

Most innovative data privacy by design
Secretarium

Most innovative alternative data solution
Sentifi AG

Most innovative digital rights management/licensing
compliance/usage tracking
TRG Screen

Most innovative AML / financial crime compliance
initiative
iSPIRAL

A-TEAMGROUP

For Marketing: **www.a-teamgroup.com**

For Content: **www.a-teaminsight.com**