

RegTech Insight APAC Awards 2022 Winners' Report

About RegTech Insight APAC Awards 2022

Congratulations to the winners of A-Team Group's RegTech Insight Awards – Asia-Pacific 2022. The awards recognise both established solution providers and innovative newcomers providing RegTech solutions that throughout the year have successfully improved firms' ability to effectively respond to evolving and ever more complex regulatory requirements.

The winners of the RegTech Insight Awards – APAC were presented during a drinks reception following A-Team Group's **RegTech Insight Summit** in Singapore on 29 November 2022.

The awards included over 30 RegTech categories ranging from Best Innovative Technology for Regulatory Compliance to Best FRTB Solution, Best Buy-Side Data Management Solution for Regulatory Compliance, Best Sell-Side Regulatory Data Solution, Best Sanctions and PEPs Solution, Best Risk Calculation Engine for Regulatory Compliance, Best Client On-Boarding Solution, Best FATCA Solution, Best Conduct Risk Solution, and more!

Thank you to all the vendors that entered A-Team Group's RegTech Insight Awards – APAC 2022, to our RegTech Insight community that voted for its favoured solutions, and to our independent, expert advisory board that worked in collaboration with our editorial team to select this year's winners.

Finally, our compliments to all the award winners, who should be deservedly proud of their achievement in such a closely fought and highly competitive contest.

If you would like your company to be considered for future RegTech Insight Awards in the US, Europe or Asia-Pacific, [click here](#), where you can either enter your details to be notified when we open for nominations, or submit your entry if we are already open for nominations.



Andrew Delaney
President & Chief Content Officer
A-Team Group

I'd personally like to thank our advisory board for their time and valuable input.

Lee Ashmore

Global Head of Anti Money Laundering Technology & Regional Head of Financial Crime Risk IT, HSBC

Andrew Kramer

Director, Compliance, FM, ASA & GCNEA, Group SG, Standard Chartered Bank

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Founder and CEO, RegPac Revolution

Sebastian Tay

Operations Lead, Opal

Sarthaka Nanda

Vice President, Agile Delivery Lead, APAC IT, Regulatory & Tax Reform Programs, Credit Suisse

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Shiv Kumar

Director, Transaction Reporting Compliance, Standard Chartered

Brian W. Tang

Founder & Managing Director
Asia Capital Markets Institute

Neeraj Bansal

Assistant Vice President, OCBC Bank



Trust the power of AI-enabled technology in the cloud

SmartStream's fully integrated suite of solutions and platform services for middle- and back-office operations are more relevant than ever – allowing our customers to gain greater control, reduce costs, mitigate risk and accurately comply with regulation.

With AI and machine learning growing in maturity, these technologies are now being embedded in all of our solutions and can be consumed faster than ever either as managed services or in the cloud.

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SmartStream Technologies

Winner: Best Sell-Side Data Management Solution for Regulatory Compliance

SmartStream recently launched the first solution to combine reference data with reconciliations – with SmartStream Reference Data Utility (RDU) and SmartStream Air, the new solution for Transaction Reporting Reconciliation and Reporting Decision Control will provide comprehensive disclosure capabilities for MiFID II transaction reporting purposes, offering near real-time matching.

Sourcing and managing regulatory data on an ongoing basis in a constantly changing regulatory environment can be complex, costly and time consuming. SmartStream's approach eases the burden of data sourcing, reporting and managing exceptions by combining the provision of timely and high-quality regulatory data with innovative integration technologies and the expertise of SMEs who can help firms meet their specific regulatory obligations. The benefits of ingesting and integrating high quality regulatory data from the get-go include not only successful compliance but also reduced operational costs, avoidance of penalties for non-compliance, and the ability to focus on data initiatives that add value to the business.



About SmartStream



www.smartstream-stp.com

SmartStream is a recognised leader in financial transaction management solutions that enable firms to improve operational control, reduce costs, build new revenue streams, mitigate risk and comply accurately with the regulators.

By helping its customers through their transformative digital strategies, SmartStream provides a range of solutions for the transaction lifecycle with AI and machine learning technologies embedded - which can be deployed in the cloud or as managed services.

As a result, more than 2,000 clients - including the majority of the world's top 100 banks, rely on SmartStream Transaction Lifecycle Management (TLM®) solutions to deliver greater efficiency to their operations.

Dow Jones Risk & Compliance

Winner: Best Sell-Side Data Management Solution for Regulatory Compliance

With Dow Jones Risk & Compliance, an in-house team of multilingual researchers and industry-leading data scientists and technologists ensure our clients and partners can leverage actionable content formatted specifically for compliance needs. We provide best-in-class risk data, web-based software applications and due diligence services that help organizations manage risk and meet regulatory requirements. Trusted by SWIFT and other renowned partners, our proprietary data and tools provide both the structure and flexibility needed to conduct efficient, effective KYC and transaction screening for anti-money laundering, trade financing, sanctions, adverse media and other financial crime risk management.

Dow Jones Risk & Compliance offers PEP and sanctions screening as a module within RiskCenter | Financial Crime, an effective web-based batch screening and monitoring solution (also offered as an API) for firms that don't want to pursue an installed solution and/or need to be up and running quickly. Our global technology teams are responsible for ensuring optimal systems capacity and availability. Clients are served and supported by local account teams present in more than 40 countries as well as the full support of the Dow Jones global customer service team, which provides customer service and technical assistance 24/7.



About Dow Jones Risk & Compliance



Dow Jones Risk & Compliance is a global provider of best-in-class risk data, web-based software applications and due diligence services that help organizations manage risk and meet regulatory requirements related to anti-money laundering, sanctions, anti-bribery and corruption and trade finance. Built on the legacy of the world's most trusted newsroom, Dow Jones Risk & Compliance combines the expertise of a multilingual research team with industry-leading data scientists and technologists to provide actionable content for compliance needs.

[www.dowjones.com/
professional/risk](http://www.dowjones.com/professional/risk)

NICE Actimize

Winner: Best Trade Surveillance Solution

With NICE Actimize's **SURVEIL-X** Holistic Conduct and Trade Compliance platform, organizations can analyze and correlate all trade related data, providing comprehensive surveillance coverage for all regulatory needs. By breaking down barriers between data silos, organizations gain deeper analysis and true risk detection.

"With its AI-powered SURVEIL-X Holistic Conduct and Trade Surveillance solution, NICE Actimize brings next level surveillance and risk detection capabilities at scalability, with even better detection coverage, reduced false positives, and the ability to monitor unified communications," said Chris Wooten, Executive Vice President, NICE.

NICE Actimize Holistic Conduct and Market Surveillance Suite enables unified compliance, supervision, investigation workflows behavioral and trade surveillance analytics. With its holistic approach, users can supervise, search and review all transactions, creating efficiency while providing comprehensive risk controls. The solution is integrated into the NICE Actimize Enterprise Risk Case Manager (ActOne) and Voice Management Systems; solutions already licensed and widely deployed by leading institutions. The Actimize Market Surveillance enables Compliance Analysts to review transactional alerts and find related risk surrounding the alerts.

NICE Actimize's Cloud-based Markets Surveillance can be deployed in a matter of weeks, meeting business and regulatory deadlines. It's also a fully supported and secure solution that can be deployed at locations with limited IT support. Firms relying on manual homegrown solutions can increase the effectiveness of their market surveillance program and reduce costs by adopting Markets Surveillance – a solution that generates few false positives and automates the investigative process.



About NICE Actimize

NICE ACTIMIZE

www.niceactimize.com/financial-markets-compliance/

NICE Actimize, a leading provider of solutions for Financial Markets Compliance applications, provides the complete compliance coverage required by today's financial regulations, offering technology that serves the total capital markets market ecosystem from buy-side trading desks to sell-side banks, from global exchange groups to emerging fintechs and regulators. Core to these offerings, NICE Actimize's SURVEIL-X Holistic Conduct Surveillance Suite offers unparalleled risk coverage and enables accurate detection and rapid, thorough investigation of market abuse, inappropriate sales practices, conduct risk and otherwise undetectable compliance risks to insulate firms from fines and reputational damage

Winner: Best FRTB Solution

Adenza

Adenza provides customers with end-to-end, trading, treasury, risk management and regulatory compliance platforms which can be delivered on-premise or on-cloud. We enable the world's largest financial institutions to consolidate and streamline their operations with front-to-back solutions integrated with data management and reporting, benefitting them with a single source of truth across the business.

With headquarters in London and New York, Adenza has more than 60,000 users across global, central and regional banks, broker-dealers, insurers, asset managers, pension funds, hedge funds, stock exchanges and clearing houses, securities services providers and corporates..

www.adenza.com



Winner: Best Sell-Side Regulatory Data Solution

Bloomberg

Bloomberg Professional Services – the Bloomberg Terminal and our enterprise data management and integration services – provide real-time data, breaking news, in-depth research, powerful analytics and world-class execution capabilities to help financial professionals make smarter, faster and more informed decisions..

www.bloomberg.com



Winner: Best Post Trade Reconciliation Solution

Cardabel

Cardabel allows the reconciliation of financial transactions that elude existing systems. Leveraging its machine learning technology, Cardabel reconciles m-records from one source with p-records from another source, without the need to write any rules or scripts. Users can focus on real breaks instead of wasting time in manual reconciliations. Cardabel quickly integrates each organization's data and transforms major reconciliation projects in fast and controllable implementations. Cardabel is used by CACEIS, a leading European custodian bank.

www.cardabel.com



Lionel Simon, Founder and CEO



Winner: Best Solution for Basel Rules

ElysianNxt

ElysianNxt introduces real-time enterprise-wide finance and risk regulatory solutions to the finance industry based on the latest technologies. This results in more affordable software that enables firms to react faster & smarter to the ever-increasing changes in global compliance. With its built-in supervisory dictionary, unique UI/UX and overarching stress test and simulation framework, ElysianNxt challenges the traditional regulatory risk landscape by offering regulatory risk solutions based on best-of-breed risk management capabilities.

www.elysiannxt.com



Anatcharee Duangkamsawad, Senior Functional Consultant handing trophy to Piet Mandeville, Product Director and Director Professional Services



Winner: Best Regulatory Compliance Solution for LIBOR / IBOR

ICE Data Services

ICE Data Services was launched in recognition that exchanges are natural producers and disseminators of data based on the price discovery role they play and the growing demand for customized market data across a range of formats. ICE Data Services offers end-to-end solutions for information, analytics, index services and connectivity with a range of proprietary data tools for global markets across fixed income, equities, commodities, FX and options.

www.ice.com



Winner: Best Operational Risk Solution

ION – XTP Risk JANUS

ION Markets provides transformative technology and solutions to financial institutions dealing in equities, fixed income, foreign exchange, cleared derivatives, asset management, and secured funding. Our award-winning end-to-end solutions simplify clients' operations by automating the full trade lifecycle, providing tools to manage risk, and maximizing access to liquidity while giving real-time access to critical information required for timely operational decisions and execution on a global scale.

<https://iongroup.com/markets>



Winner: Best Client On-Boarding Solution

Know Your Customer

Know Your Customer is an award-winning RegTech company specialised in next-generation digital onboarding, anti-money laundering and KYC/KYB solutions. Founded in Hong Kong in 2015, the company offers the widest coverage of real-time registry connections in the industry, providing direct access to official company data in 126 countries globally. With offices in Hong Kong, Singapore, Dublin, London, and Shanghai, the company has built a customer base across 11 verticals and 18 jurisdictions.

<https://knowyourcustomer.com>



Winner: Best Risk Calculation Engine for Regulatory Compliance

KYC Portal

KYC Portal (KYCP) is an award winning, real-time, risk driven client lifecycle management platform with full ownership of policy automation - increasing operational efficiencies by over 60% across the board and redefining business relationship outreach. KYCP takes the guesswork and fragmented task delegation out of the due diligence and compliance process, through fully bespoke risk assessment programmes, infinite custom entity types, associated mandated documentation, data fields, interview questionnaires and required process checklists.

www.kycportal.com



Kristoff Zammit Ciantar – KYC Portal Founder & CEO



Winner: Best FATCA Solution

MAP FinTech

Founded in 2013, MAP FinTech is a leading and award-winning global regulatory technology provider for the financial services industry, specialising in reporting solutions arising from the requirements of several complex and challenging international regulations such as EMIR, MiFID II/MiFIR, ASIC, MAS, SFTR, FATCA, DAC6 and CRS. MAP FinTech also provides innovative and comprehensive solutions for Best Execution Monitoring, RTS 27/28 reporting, KYC&AML Transaction Monitoring, Trade Surveillance (Market Abuse), and eKYC (Screening, eIDV, Document Authentication).

www.mapfintech.com



MAP FinTech, Panayiotis Omirou, CEO



Winner: Best Buy-Side Regulatory Data Solution

Moody's

Moody's is a global integrated risk assessment firm that empowers organizations to make better decisions. Its data, analytical solutions and insights help decisionmakers identify opportunities and manage the risks of doing business with others. We believe that greater transparency, more informed decisions, and fair access to information open the door to shared progress.

www.moody's.com



MOODY'S

Winner: Best ESG Regulatory Solution

Moody's Analytics

Moody's Analytics provides financial intelligence and analytical tools to help business leaders make better, faster decisions. Our deep risk expertise, expansive information resources, and innovative application of technology help our clients confidently navigate an evolving marketplace. We are known for our industry-leading and award-winning solutions, made up of research, data, software, and professional services, assembled to deliver a seamless customer experience.

www.moody'sanalytics.com



MOODY'S
ANALYTICS

Winner: Best Conduct Risk Solution

MyComplianceOffice (MCO)

MCO (MyComplianceOffice) provides compliance management software that enables companies around the world to reduce their risk of misconduct. Our powerful platform lets compliance professionals demonstrate they are proactively managing the regulated activities of employees, third-party vendors and other agents of the firm. Available as a unified suite or à la carte, our easy-to-use and extensible SaaS-based solutions get clients up and running quickly and cost-efficiently.

MyComplianceOffice.com



Winner: Best Transaction Monitoring Solution

Napier

Napier is a financial crime compliance technology specialist. Our platform, Napier Continuum is a new class of AI-enhanced financial crime risk management platform to connect data, control operations and manage risk in a single, fully integrated financial crime risk management centre. By unifying risk monitoring applications, tools and data into one environment, Napier Continuum builds a holistic view of risk while improving operational efficiencies, delivering greater levels of ROI and improved accuracy in investigations.

www.napier.ai



Winner: Best Regulatory Consultancy - APAC

RegCentric

RegCentric delivers innovative services and solutions that leverage the latest advances in technology to increase efficiency, provide insights, reduce risks, and ensure regulatory compliance. We reimagine how regulators and regulated industry exchange information leveraging cutting-edge technology creating an efficient and safer financial eco-system. Our growing client base relies on our deep domain expertise and technology know-how to support the design and implementation of fit for purpose governance and operating models that drive operational efficiencies and insights.

www.regcentric.com



Winner: Best Vendor Solution for the Common Reporting Standard (CRS)

Regnology

Regnology is a leading technology firm on a mission to bring safety and stability to the financial markets. With an exclusive focus on regulatory reporting and more than 7,000 financial institutions, 30 regulators and 20 tax authorities as clients, we're uniquely positioned to bring greater data quality, efficiency and cost savings to all market participants. With over 800 employees in 12 countries and a unified data ingestion model powering our work, our clients can quickly implement and derive value from our solutions and easily keep pace with ongoing regulatory changes. Regnology was formed in 2021 when BearingPoint RegTech, a former business unit of BearingPoint Group, joined forces with Vizor Software, a global leader in regulatory and supervisory technology. Connect with us on **LinkedIn** and **Twitter**.

www.regnology.net



Winner: Best Compliance as a Service Solution

Relativity ODA LLC

Relativity makes software to help users organize data, discover the truth and act on it. Its SaaS product, RelativityOne, manages large volumes of data and quickly identifies key issues during litigation and internal investigations. The AI-powered communication surveillance product, Relativity Trace, proactively detects regulatory misconduct like insider trading, collusion and other non-compliant behavior. Relativity has more than 300,000 users in approximately 40 countries serving thousands of organizations globally.

www.relativity.com



Winner: Best Regulatory Change Management Solution

Single Rulebook

Having the right tools to navigate complex regulation has never been more important. Developed by regulatory specialists, Single Rulebook is an integrated software solution that enables you to search, share and manage regulatory rules on one digital platform. Interactive rule maps provide visual guidance on interdependent regulation for every single article, allowing you to understand correlations and relationships between regulatory rules without leaving the platform. Single Rulebook can be easily integrated into your existing policies, systems and controls from your browser using our no-code API saving you time and providing the whole team with traceability and access to the most relevant information.

www.singlerulebook.com



Chris Dingley, CEO



Winner: Best Financial Crime Solution

smartKYC

smartKYC's AI software is used by banks to automate customer due diligence whether for remediation, onboarding, refresh or continuous monitoring; our technology drives faster, better, and more cost-effective KYC at every stage of the relationship - liberating human effort to focus on decision-making rather than laborious screening and research. smartKYC presents facts about risks like adverse media, but also provides insights into information like source of wealth, network risk and ESG misdemeanours.

www.smartkyc.com



Hugo Chamberlain, COO



Winner: Best Transaction Cost Analysis Solution
for Best Execution

Tradeweb

We are a leading, global operator of electronic marketplaces for rates, credit, equities and money markets. Tradeweb provides access to markets, data and analytics, electronic trading, straight-through-processing and reporting for more than 40 products to clients in the institutional, wholesale and retail markets. Tradeweb serves approximately 2,500 clients in more than 65 countries.

www.tradeweb.com



Winner: Best Regulatory Compliance Solution for IFRS 9

Wolters Kluwer

Wolters Kluwer (AEX: WKL) is a global leader in professional information, software solutions, and services for the healthcare; tax and accounting; governance, risk and compliance; and legal and regulatory sectors. We help our customers make critical decisions every day by providing expert solutions that combine deep domain knowledge with specialized technology and services. Wolters Kluwer reported 2021 annual revenues of €4.8 billion. The group serves customers in over 180 countries, maintains operations in over 40 countries, and employs approximately 19,800 people worldwide. The company is headquartered in Alphen aan den Rijn, the Netherlands.

www.wolterskluwer.com



Janice Yeo, Sales Director, APAC, Wolters Kluwer FRR



More RegTech Insight APAC Awards 2022 winners

Best Innovative Technology for Regulatory Compliance

AQMetrics

Best Buy-Side Data Management Solution for Regulatory Compliance

BNY Mellon

Best AI Solution for Regulatory Compliance

Fraud.net

Best Regulatory Reporting Solution

Point Nine

Best KYC Data Solution

Quantexa

Best Transaction Reporting Solution

Regtank Technology Pte Ltd

Best Cloud Solution for Regulatory Compliance

Snowflake

Best Analytics Solution for Capital Requirements/
Liquidity Risk

Solve Advisors

Best Vendor Solution for APRA APS 220

Vermeg Australia PTY LTD,Vermeg

Best MAS 610 Solution

**Vermeg International Singapore Pte
Limited,Vermeg**

Best e-Comms Surveillance Solution

Voxsmart

A-TEAMGROUP

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For Content: www.a-teaminsight.com