

RegTech Insight Awards – Europe 2022 Winners’ Report



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About RegTech Insight Awards – Europe 2022

Congratulations to the winners of A-Team Group's RegTech Insight Awards – Europe 2022. The awards recognise both established solution providers and innovative newcomers providing RegTech solutions that throughout the year have successfully improved firms' ability to effectively respond to evolving and ever more complex regulatory requirements across the global financial services industry.

The winners of this year's RegTech Insight Awards – Europe were presented by guest speaker Terry Waite MBE, and hosted by A-Team's Mike O'Hara at a lively awards lunch at Glaziers Hall in London on 26 May, 2022.

The awards included more than 30 categories of RegTech solutions ranging from Best Regulatory Reporting Solution to Best Sanctions Management Solution, Best ESG Regulatory Solution, Best Know Your Customer (KYC) Solution, Best AI Solution for Regulatory Compliance, Best Trade Surveillance Solution and more!

An editor's recognition award for RegTech Industry Professional of the Year was presented to David Cowland, Head of Business Consulting, Eliga.

Thank you to all the vendors that entered A-Team Group's RegTech Insight Awards – Europe 2020, to our RegTech Insight community that voted for its favoured solutions, and to our independent, expert advisory board that worked in collaboration with our editorial team to select this year's winners.

Finally, our compliments to all the award winners, who should be deservedly proud of their achievement in such a closely fought and highly competitive contest.

If you would like your company to be considered for future RegTech Insight Awards in Europe, USA or APAC, [click here](#), where you can either enter your details to be notified when we open for nominations, or submit your entry if we are already open for nominations.

Andrew Delaney
President & Chief Content Officer
A-Team Group



I'd personally like to thank our advisory board for their time and valuable input.

Chris Beevor

Head of Compliance – Regulatory & Development Group, GAM Investments

Dawd Haque

Global lead for Regulatory Market Initiatives, Transformation & Strategy at Deutsche Bank AG

Ian Hollowbread

MD, Global Innovation Lead, Safe & Compliant, ING

Paul Lumsden

Head of Regulatory Technology Change & Product Owner, Janus Henderson Investors

Nitzan Solomon

Head of Transaction Monitoring, AML & Fraud, Revolut

Richard Maton

Executive Board Member and Strategic Initiatives Lead, International RegTech Association

Nick Cook

Former Regulator and Independent RegTech and FinTech Advisor

Paul Clulow Phillips

Managing Director – Co-Global Head of Markets compliance, Global Head of Surveillance, Société Générale

Niresh Rajah

Board Advisor, NED – FinTech, Regulatory Change, Chief Data Office and RegTech

Colin Ware

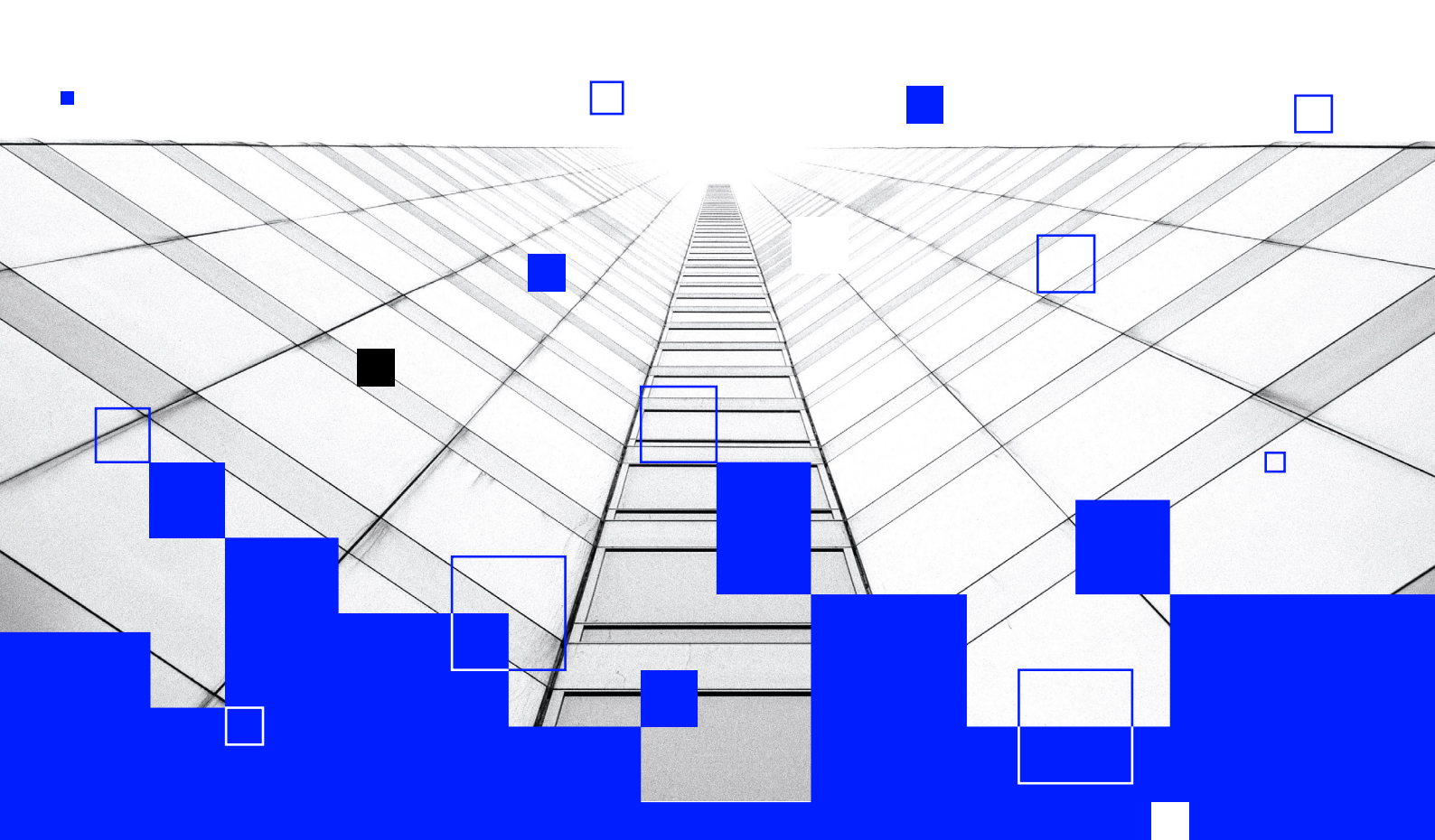
Regulatory Product Manager, BNY Mellon

Dr Ruth Wandhofer

Global FinTech 50 Influencer

David Cowland

Independent RegTech Advisor



As you navigate sanctions regulations, ensure your organisation has access to comprehensive and accurate data to support your **sanctions screening** and **due diligence** programme.

With Refinitiv's suite of solutions:

- Access accurate sanctions data
- View detailed insights
- Get background check reports
- Stay up to date on any changes with our monitoring capabilities

For over two decades, we have been trusted by our customers for quality data, technology and human expertise.

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Refinitiv,
an LSEG business

Winner: Best Solution for Sanctions Management

Refinitiv's suite of solutions provide everything you need to help you stay compliant in an evolving sanctions landscape. Access accurate sanctions data, view detailed insights and background check reports, and stay up to date on any changes with our monitoring capabilities.

We have more than 470+ multi-lingual researchers in 240 countries and territories. Our research covers 57,000+ active sanctions records across 300+ sanctions programmes as part of World-Check's sanctions-screening coverage. On a 24/7/365 basis, we monitor 10,000+ global information resource lists, 800+ sanctions, regulatory, and law enforcement lists, and 5,900+ official/government sites as well as adding 50,000+ new records monthly.



Financial Instrument Risk Intelligence (FIRI) opt-in data set in the World-Check Data File structure delivers accurate, comprehensive sanctions content for both sanctioned entities (including explicit and implicit/narrative sanctions) and instruments. The types of financial instruments covered include debt, equities, derivatives, ETFs, indices, funds, and warrants.

Outsourcing ongoing monitoring to our Managed Services team, allows you to reduce compliance burden and maximise your resources as our team can help you screen large batches of your third parties and customers.

We offer a range of due diligence reports that follow an investigation into a subject's background, financial and reputational standing. Our reports are designed to enable you to make critical decisions around your business partnerships, and help you go beyond screening and provide further due diligence on a company or individual for establishing the ultimate beneficial ownership (UBO) of a company, or, for the source of wealth of an individual.

Find out more: [Sanctions Screening](#) | [Refinitiv](#)

About Refinitiv, an LSEG business

Refinitiv, an LSEG (London Stock Exchange Group) business, is one of the world's largest providers of financial markets data and infrastructure. With \$6.25 billion in revenue, over 40,000 customers and 400,000 end users across 190 countries, Refinitiv is powering participants across the global financial marketplace. We provide information, insights, and technology that enable customers to execute critical investing, trading and risk decisions with confidence. By combining a unique open platform with best-in-class data and expertise, we help to drive performance, innovation and growth for our customers and partners.

www.refinitiv.com

REFINITIV®

Winner: Best Analytics Solution to Address Capital Requirements/Liquidity Risk

In response to the coronavirus pandemic, financial authorities in several jurisdictions have deferred, but not done away with, BCBS 248 intraday liquidity stress testing. Yet the pandemic, and the turbulent conditions it has created, has made this type of stress testing more essential than ever. Banks must now ask a greater number of 'what ifs', in-order-to identify threats and counter possible liquidity crunches. Credit risk is increasing with direct impact on a bank's liquidity. The ability to model the potential impact of such occurrences is no longer simply a regulatory box-ticking exercise but a matter of self-protection, and even of survival.

Carrying out a stress test – defining its scope, gathering data, and running the test – currently can take up to eight weeks, as well as requiring a large team and a good deal of manual effort. The cumbersome nature of the process makes running ad hoc scenarios virtually impossible, preventing a more proactive, dynamic approach to risk analysis.



About SmartStream

SmartStream has become a trusted partner that deliver automation and control to the world's leading capital markets, buy-side firms and corporations. The demands of the industry particularly at times of transformation has allowed us to grow, introducing new solutions and win multiple industry accolades.

SmartStream's solutions and services span the transaction lifecycle and incorporate the latest AI and machine learning technologies. These can be accessed in a variety of ways, for example, via APIs, or deployed as managed services and cloud environments.

www.smartstream-stp.com



Winner: Best Solution for Benchmark Regulation

For more than 90 years, SIX has provided the financial data and services the industry needs: high-quality data, delivered at the right time, in the right way. From our core reference data on securities, prices, corporate events, tax and regulatory data, to our flagship indices and bespoke benchmarks, SIX offers added-value services that smoothly integrate with your workflows. We free your time and attention to spend on growing your business.

As a vendor, SIX does more than just deliver data. We work daily to help standardise industry data, creating a more efficient financial industry. For nearly 30 years, SIX has been actively involved in Standard Bodies with leading roles in national (Swiss) and international committees and associations. We are promoting consistent standards and encouraging strong and open communication within the regulatory community and the financial industry.



SIX is the official numbering agency for Switzerland, Liechtenstein and Belgium and a founding member of the Association of National Numbering Agencies (ANNA) as a Co-Operator of the ANNA Service Bureau (ASB). We operate a Legal Entity Identifier (LEI) service that enables clients to integrate and cross-link all data references, while streamlining data flow. SIX also recognises the importance of having resilient and reliable references and provides the Swiss Average Rate Overnight (SARON) as well as an access to a wide range of investment classes through standardised indices and advanced strategies.

About SIX

SIX operates and develops infrastructure services for the Swiss and Spanish Stock Exchanges, for Post-Trade Services, Banking Services and Financial Information with the aim of raising efficiency, quality and innovative capacity across the entire value chain of the Swiss and Spanish financial centers. The company is owned by its users (120 banks). With a workforce of 3,685 employees and a presence in 21 countries, it generated operating income of CHF 1.5 billion and Group net profit of CHF 73.5 million in 2021.

www.six-group.com



LIST,
an ION company

Winner: Best Solution for Records Retention

LIST, an ION company, provides financial technology to the global trading community, including trading venues, market makers, brokers, asset managers, risk managers and compliance officers. LIST's turnkey products and delivery, driven by customers' needs, support successful business growth. Continuous innovation and client partnerships around the globe have established LIST's reputation.

ION focuses exclusively on software and data to digitize and automate mission-critical workflows for capital markets trading, and treasury and risk management; high-value analytics and insights; and consulting to financial institutions, central banks, governments, and corporate organizations. Our financial technology simplifies complex processes, boosts efficiency, and delivers intelligence.

Since inception in 1999, ION has grown through acquisitions. Today, ION is one of the world's largest financial software and data firms.

We build long-term customer partnerships, helping them transform through continuous innovation.

In capital markets, we serve institutions dealing in equities, fixed income, foreign exchange, cleared derivatives, and secured funding. Our solutions digitize and automate workflows throughout the trade lifecycle, optimizing risk management and maximizing access to liquidity, while giving real-time access to critical information for timely decisions and global trade execution.

LIST's LookOut is a multi-asset solution for regulatory reporting, market surveillance, and business analytics, designed for sell-side firms' data management with near real-time reporting.



About LIST

LIST, an ION company, is a leading financial technology provider to the global trading community, including trading venues, market makers, brokers, asset managers, risk managers and compliance officers. LIST's innovative turnkey products and delivery, driven by customers' needs, play a key role in supporting successful business growth in today's volatile times. Continuous innovation and client partnerships around the globe have built trust and reliance on LIST's unique real-time and data analytics capabilities.

www.list-group.com iongroup.com



RegTech Industry Professional of the Year

David Cowland

Head of Business Consulting, Eliga

David has spent nearly 4 decades working in financial services, covering Retail, Corporate and International banking, as well as Wealth and Asset Management, in both business and technology roles. He is currently working in an advisory capacity across the RegTech industry as an independent expert.



Winner: Best Solution for Tax Compliance



A.E. Prognosys Solutions Ltd

Since 2004, Prognosys Solutions has been a front-runner technology based regulatory compliance firm delivering high-quality, innovative RegTech solutions to the Financial Sector in the areas of Tax, Finance, Risk and Compliance, from day-to-day monitoring to regulatory reporting.

Known for its client centric culture, based on “RegTech Made Simple” philosophy and by constantly enhancing the value of its solutions, Prognosys Solutions has been a reliable partner for Institutions with deep market expertise across the sector.

www.prognosys.com.cy



Collected on behalf of A.E. Prognosys Solutions Ltd by Mike O'Hara, Contributing Editor, A-Team Group

Winner: Best Regulatory Reporting Solution



B.fine

Getting the data right and getting the right data is crucial for financial institutions when it comes down to regulatory reporting. Therefore, financial institutions rely on b.fine to transform their time-consuming, inefficient reporting processes into an effective reporting supply chain. Through our unique mix of services and our innovative regulatory platform called b.rx, we are leading financial institutions from regulatory reporting towards regulatory intelligence.

www.b-fine.eu



Collected on behalf of B.fine by Sarah Underwood, Editor, A-Team Group

Winner: Best Solution for FRTB



Adenza

Adenza provides customers with end-to-end, trading, treasury, risk management and regulatory compliance platforms which can be delivered on-premise or on-cloud. Adenza enables financial institutions to consolidate and streamline their operations with front-to-back solutions integrated with data management and reporting, benefitting from a single source of truth across the business. With headquarters in London and New York, Adenza has more than 60,000 users across the world's largest financial institutions spanning global and regional banks, broker dealers, insurers, asset managers, pension funds, hedge funds, central banks, stock exchanges and clearing houses, securities services providers and corporates.

www.adenza.com



Collected on behalf of Adenza by Sarah Underwood, Editor, A-Team Group

Winner: Best ESG Regulatory Solution



Bloomberg LP

Bloomberg's ESG regulatory data products enable banks, asset managers, insurers and other financial organizations to navigate an increasingly complex regulatory and ESG disclosure environment. Our products are developed by industry experts who understand the challenges faced by sell-side and buy-side firms today.

Bloomberg.com/esg



Winner: Best Solution for Stress Testing



ElysianNxt

ElysianNxt is a fast-growing RegTech company focused on developing the most technologically advanced, user-friendly, real-time Risk and Finance solutions. Comprised by seasoned industry veterans and subject matter experts, the company was established to provide an alternative solution to the traditional, outdated, monolithic applications that the financial industry is accustomed to in their quest to automate their back-end financial calculation obligations.

www.elysiannxt.com



Collected on behalf of ElysianNxt by Sarah Underwood, Editor, A-Team Group

Winner: Best Trade Surveillance Solution



Eventus

Eventus is a leading global provider of multi-asset class trade surveillance and market risk solutions. Its powerful, award-winning Validus platform is easy to deploy, customize and operate across equities, options, futures, foreign exchange (FX), fixed income and digital asset markets. The company's rapidly growing client base relies on Validus and Eventus' responsive support and product development teams to overcome its most pressing regulatory challenges.

eventus.com



Winner: Best Solution for Digital Transformation in Regulatory Compliance



Fincom

FINCOM develops and deploys Streamlined Data Search Solutions for AML/Sanctions Screening, Data Mapping, Entity Resolution, and Digital Transformation. Fincom's patented 'Phonetic Fingerprint' core technology ensures accurate matching & mapping of data entries in 42 different languages (original alphabets and transliterations) across various data silos, while deduping and cleansing results.

Using the Phonetic Fingerprint in OCR-to-Digital matching applications, allows efficient digital transformation, 'connecting the dots' and closing the gap between legacy and new technologies.

fincom.co



Collected on behalf of Fincom by
Mike O'Hara, Contributing Editor,
A-Team Group

Winner: Best Solution for EMIR



MAP FinTech

Founded in 2013, MAP FinTech is a leading and award-winning global regulatory technology provider for the financial services industry, specialising in reporting solutions arising from the requirements of several complex and challenging international regulations such as EMIR, MiFID II/MiFIR, ASIC, MAS, SFTR, FATCA, DAC6 and CRS. MAP FinTech also provides innovative and comprehensive solutions for Best Execution Monitoring, RTS 27/28 reporting, KYC&AML Transaction Monitoring, Trade Surveillance (Market Abuse), and eKYC (Screening, eIDV, Document Authentication). You can visit our website for more information.

www.mapfintech.com



Winner: Best Regulatory Consultancy - Europe



MAP S.Platis Group

MAP S. Platis is a leading financial services consulting Group with clients that include regulators, banks, funds and fund managers, investment firms, brokers, fintech firms, insurance firms and payment and electronic money institutions. Our expert team, currently comprising over 400 high-calibre professionals, provides unique and tailored solutions in licensing, regulatory compliance, risk management, internal audit, human resources, regulatory technology, information technology, business resilience, information security including cybersecurity, governance, executive training, and innovation consulting to financial and other firms in the UK, Cyprus and the EU. Thanks to our vast regulatory compliance experience in supporting local and international financial services institutions, continued interaction with regulatory authorities, multidisciplinary and diverse team of professionals, unparalleled track record, global network of associates and wealth of resources, our internationally recognised and award-winning team can support any client's needs efficiently and effectively.

www.mapsplatis.com



Winner: Best Solution for Basel IV



Moody's Analytics

Moody's Analytics provides financial intelligence and analytical tools to help business leaders make better, faster decisions. Our deep risk expertise, expansive information resources, and innovative application of technology help our clients confidently navigate an evolving marketplace. We are known for our industry-leading and award-winning solutions, made up of research, data, software, and professional services, assembled to deliver a seamless customer experience.

www.moodyanalytics.com



Collected on behalf of Moody's Analytics by Mike O'Hara, Contributing Editor, A-Team Group

Winner: Best Solution for Managing Conduct Risk



MyComplianceOffice

MyComplianceOffice (MCO) provides compliance management software that enables companies around the world to reduce their risk of misconduct. Our powerful platform lets compliance professionals demonstrate they are proactively managing the regulated activities of the company, employees and third-party vendors. Available as a unified suite or à la carte, our easy-to-use and extensible SaaS-based solutions get firms up and running quickly and cost-efficiently. With 1200 clients in over 80 countries and employees around the world, MCO delivers affordable, easy-to-use compliance technology that helps highly regulated firms better monitor, identify and remedy conflicts of interest and code of conduct issues.

www.mycomplianceoffice.com



Winner: Best AI Solution for Regulatory Compliance



Napier

Napier is a new breed of financial crime compliance technology specialist. Our platform is transforming compliance from legal obligation to competitive edge. Trusted by leading financial institutions, we design and build technology to help companies in any sector comply with AML regulations, detect suspicious transactions, screen potential customers, and predict customer behaviour. Napier uses industry knowledge and cutting-edge technologies such as AI and machine learning to help businesses detect suspicious behaviours and fight financial crime.

www.napier.ai



Winner: Best Solution for Managing Financial Crime



NICE Actimize

NICE Actimize, a leading provider of solutions for Financial Markets Compliance applications, provides the complete compliance coverage required by today's financial regulations, offering technology that serves the total capital markets market ecosystem from buy-side trading desks to sell-side banks, from global exchange groups to emerging fintechs and regulators.

Core to these offerings, NICE Actimize's SURVEIL-X Holistic Conduct Surveillance Suite offers unparalleled risk coverage and enables accurate detection and rapid, thorough investigation of market abuse, inappropriate sales practices, conduct risk and otherwise undetectable compliance risks to insulate firms from fines and reputational damage.

www.niceactimize.com



Winner: Best Solution for Buy-Side Regulatory Compliance



OTCFin

Since its inception over 30 years ago, OTCFin has specialized in providing financial data management, risk, and regulatory reporting solutions. With roots in enterprise-wide risk and data management technology, OTCFin is intimately familiar with implementing an effective centralized data repository to support the reporting needs of risk and investment managers. Headquartered in New York, with a presence in Barcelona, New Delhi, and Paris, OTCFin has been privileged to work with major global financial institutions.

www.otcfin.com



Winner: Best Solution for Sell-Side Regulatory Compliance

S&P Global

Market Intelligence

S&P Global Market Intelligence

At S&P Global Market Intelligence, we understand the importance of accurate, deep and insightful information. We integrate financial and industry data, research and news into tools that help track performance, generate alpha, identify investment ideas, perform valuations and assess credit risk. Investment professionals, government agencies, corporations and universities around the world use this essential intelligence to make business and financial decisions with conviction.

S&P Global Market Intelligence is a division of S&P Global (NYSE: SPGI), the world's foremost provider of credit ratings, benchmarks and analytics in the global capital and commodity markets, offering ESG solutions, deep data and insights on critical business factors. S&P Global has been providing essential intelligence that unlocks opportunity, fosters growth and accelerates progress for more than 160 years.

www.spglobal.com/marketintelligence



Winner: Best Regulatory Alert Management Solution



Single Rulebook

Having the right tools to navigate complex regulation has never been more important. Developed by regulatory specialists, Single Rulebook is an integrated software solution that enables you to search, share and manage regulatory rules on one digital platform.

Interactive rule maps provide visual guidance on interdependent regulation for every single article, allowing you to understand correlations and relationships between regulatory rules without leaving the platform. Easily integrated into existing systems and controls, Single Rulebook saves time and creates efficiencies across the whole organisation.

www.singlerulebook.com



Winner: Best e-Comms Surveillance Solution



Smarsh

Smarsh enables companies to transform oversight into foresight by surfacing business-critical signals in more than 80 digital communications channels. Regulated organisations of all sizes rely upon the Smarsh portfolio of cloud-native digital communications capture, retention and oversight solutions to help them identify regulatory and reputational risks within their communications data before those risks become fines or headlines. Smarsh's AI-powered surveillance applications provides purpose-built workflows and scenarios that enable compliance and surveillance teams to review all communications easily and in one place to focus on real risks while dramatically reducing noise.

www.smarsh.com/platform/communications-intelligence/conduct-intel



Winner: Best Know Your Customer Solution



smartKYC

smartKYC's team comprises experts in the field of open source intelligence technology. We have assembled a diverse, international team that marries technical excellence with pioneering market expertise. Over several years and backed by private funding and entrepreneurial commitment, smartKYC has been developed to solve the seemingly intractable problem of mechanising open source due diligence and removing the challenges that languages present.

Today, smartKYC is the world's most advanced enterprise solution for due diligence automation.

smartkyc.com



Winner: Best Data Privacy Solution



Solidatus

Award-winning Solidatus, the leader in metadata management, enables the world's largest data-rich and regulated organisations to effectively manage their data, people and processes, reducing complexity and risk through transparency, automation and collaboration. Solidatus provides organisations with a solution that allows them to fundamentally redesign their organisational data culture and capabilities by enabling the creation of a holistic organisation-wide digital map that details all the relationships that interact and impact their data, accelerating modernisation and transformation. The Solidatus methodology for digitally transforming organisations to be data-centric and lineage-enabled is changing the way organisations manage their data. Quickly being adopted by organisations across the globe, including top-tier global financial, pharmaceutical, utility and infrastructure firms and has been implemented by leading consulting and technology firms.

solidatus.com



Winner: Best Transaction Reporting Solution



SteelEye

Comply Smarter with robust and efficient record keeping, oversight and intelligence. SteelEye reduces the complexity and cost of compliance through a holistic cloud-based platform. By connecting large volumes of data from multiple sources, SteelEye enables you to meet regulatory obligations quickly, efficiently and accurately – allowing you to easily lock your data in a WORM-compliant vault, identify warning signs of harmful conduct, and demonstrate that you have watertight policies, procedures, and controls.

steel-eye.com



Winner: Best Regulatory Intelligence Solution



Thomson Reuters

Thomson Reuters® Regulatory Intelligence is a market leading solution that empowers you to make well-informed decisions to confidently manage regulatory risk, while providing the tools to make proactive decisions and action change within your organization. It has been developed with a full understanding of your compliance needs – locally and globally, today and in the future.

legal.tr.co.uk/regulatory-intelligence



More RegTech Insight Awards – Europe 2022 winners

Best Client On-Boarding Solution

Muinmos

Best RegTech Early Stage Business

Evidology Systems

Best Solution for Operational Resilience

OneTrust

Best Solution for Managing Operational Risk

ACA Group

Best Compliance as a Service Solution

Compliance Star

Best Cloud-Based Solution for Regulatory Compliance

Know Your Customer

Best Solution for Regulatory Change Management

SAI360

