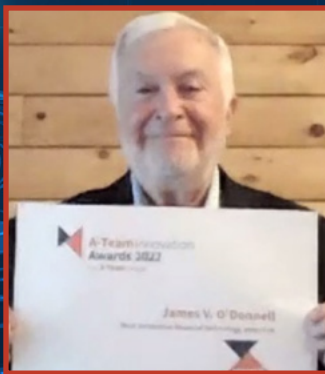
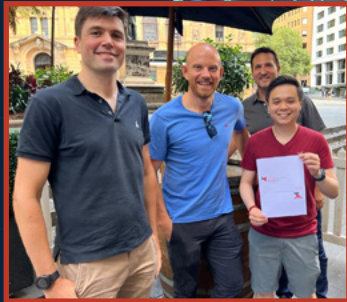
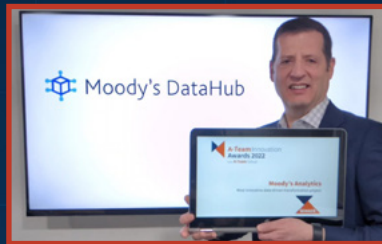


A-Team Innovation Awards 2022 Winners' Report

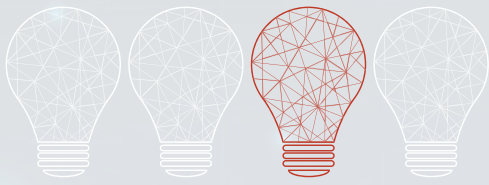


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About A-Team Innovation Awards 2022

Congratulations to the winners of A-Team Group's Innovation Awards 2022. The awards, now in their second year, celebrate innovative projects and teams across vendor and practitioner communities that make use of new and emerging technologies to deliver high-value solutions for financial institutions in capital markets.



The awards included 54 categories across A-Team Group's Data Management Insight, RegTech Insight, TradingTech Insight, and ESG Insight news channels. They ranged from most innovative entity data hierarchies approach to most innovative AI in regulatory compliance, most innovative cloud-based trading analytics initiative, most innovative ESG data solution, and most innovative young persons' academy programme.

Thank you to all the vendors and practitioners that entered their ground-breaking solutions and services, and to A-Team Group's independent, expert advisory board that worked in collaboration with our editorial team to select this year's winners.

The awards were presented virtually on 23 March, 2022, in advance of our series of A-Team Innovation Briefings in London in July 2022.

If you would like your company to be considered for future Innovation Awards, visit [here](#), where you can either enter your details to be notified when we open for nominations, or submit your entry if we are open for nominations.

Andrew Delaney
President & Chief Content Officer
A-Team Group

I'd personally like to thank our advisory board for their time and valuable input.

Julia Bardmesser

SVP, Head of Data, Enterprise Architecture and Salesforce Development, Voya Financial

Kathryn Zhao

Global Head of Electronic Trading, Cantor Fitzgerald

Peter Jackson

Partner and CDO, Carruthers and Jackson, Co-Author of 'Data Driven Transformation'

Anthony Ta

Digital Project Director/Innovation & Watch Leader, Societe Generale

Ian Hollowbread

Global Innovation Lead Safe & Compliant, ING

Ben Clinch

Principle Enterprise Architect – Data Governance, BT

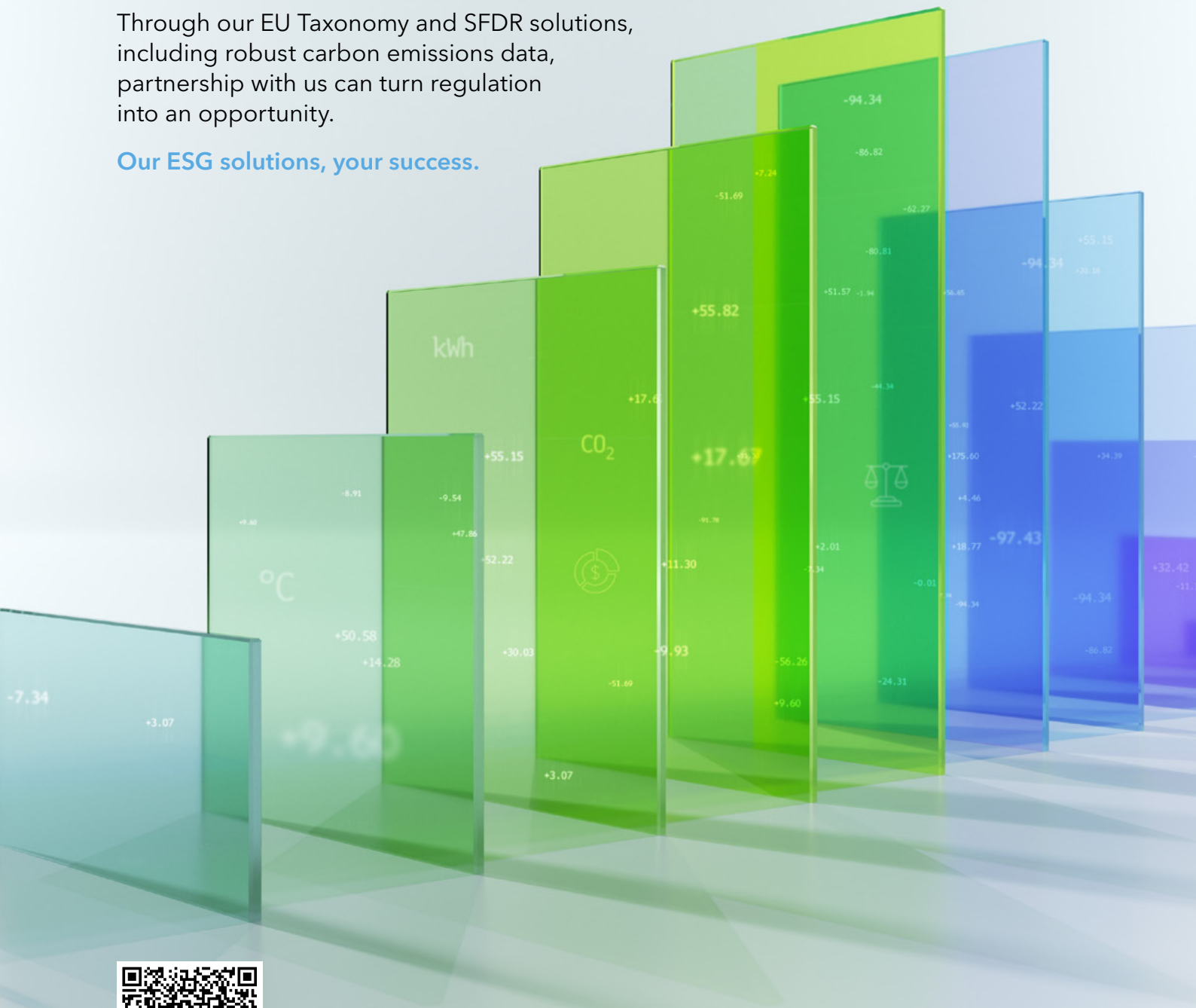
Transparency, data, and growth.

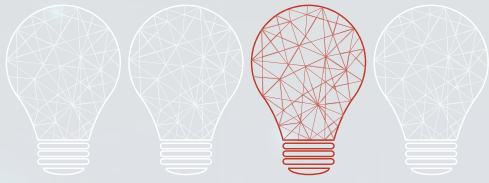
Thanks for naming Bloomberg the
Most Innovative ESG Data Solution.

Bloomberg's ESG regulatory solutions
are built to streamline and tailor company
data sets, easing the burden of compliance.

Through our EU Taxonomy and SFDR solutions,
including robust carbon emissions data,
partnership with us can turn regulation
into an opportunity.

Our ESG solutions, your success.





Bloomberg LP

Winner: Most innovative ESG data solution

Bloomberg provides a number of sustainable finance solutions, offering data-driven insights to help investors integrate ESG throughout the full investment process and standardize company-reported and third-party ESG data. These solutions include ESG news and research content, carbon estimates, indices, scores, analytics, and research workflows built specifically for investors around ESG.

Subscribers to the Bloomberg Terminal can review Bloomberg's ESG Solutions at {BESG <GO>}. For more information, please visit [here](#).



Rokhsana Saddighzadeh, *Global Product Manager for Sustainable Finance Regulation*

About Bloomberg LP

Bloomberg, the global business and financial information and news leader, gives influential decision makers a critical edge by connecting them to a dynamic network of information, people and ideas. The company's strength – delivering data, news and analytics through innovative technology, quickly and accurately – is at the core of the Bloomberg Terminal. Bloomberg's enterprise solutions build on the company's core strength: leveraging technology to allow customers to access, integrate, distribute and manage data and information across organizations more efficiently and effectively.

www.bloomberg.com

Bloomberg



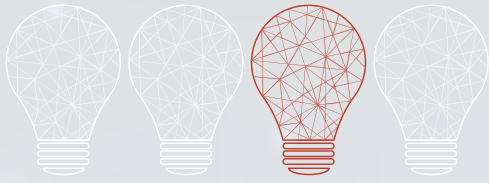
Making investment data work.

Empowering investment decisions with
data intelligence



Find out more about how our data and investment intelligence solutions
help you connect the dots.

rimes.com



RIMES

Winner: Most innovative hosted/managed enterprise data management: EDM-as-a-Service

Making investment data work The Rimes Solution

We offer a highly configurable cloud-native data management solution which sets the industry standard for data quality. Whether you need help with a single domain, require an effective solution for multiple complex sources of data or assistance navigating the unstructured ESG data landscape for regulatory and mandate compliance, we provide the clean, timely and trustworthy investment data you need. By empowering your data management team with dashboards they can view the data at every stage of delivery to quickly identify, escalate and solve for any data errors.



The MDS team at Rimes

Making investment data work

Working closely with our global clients, we make sure we understand individual requirements to deliver solutions which make investment data work. We service 400+ institutions globally, which include 124 of the top 450 Asset Managers, representing 59% of the total global assets under management, ensuring operational excellence through data quality, integrity and reliability.

Background

Headquartered in New York, Rimes provides transformative data management and investment intelligence solutions to the world's leading investors and asset managers. Driven by our passion for solving the most complex data problems, we partner with our clients to help them make better investment decisions using accurate information and industry-leading technology.

Learn more at www.rimes.com

About RIMES

Rimes provides transformative data management and investment intelligence solutions to the world's leading investors and asset managers. Driven by our passion for solving the most complex data problems, we partner with our clients to help them make better investment decisions using accurate information and industry-leading technology. Headquartered in New York, Rimes serves its global clients through offices in Europe, Americas and Asia Pacific.

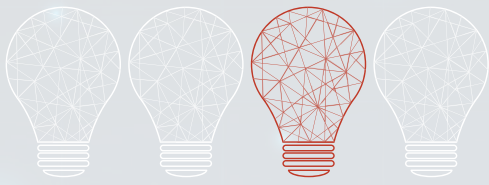
www.rimes.com



Let analytics feed real-time decisions.

Data Source takes your trade and quote data and transports it to the cloud, so you can access it freely. The data is transformed into interactive analytics that are blended with your Hub settings so that your data informs your trading and hedging strategy.





oneZero

Winner: Most innovative cloud-based trading analytics initiative

oneZero is a leading innovator in multi-asset class trading technology that was founded in 2009 by Andrew Ralich and Jesse Johnson in Cambridge, MA. oneZero technology handles \$100B+ ADV, 6M+ transactions/day, and billions of quotes/day. oneZero has 100+ global employees with development and operations centers in Asia, Australia, Europe, North America and the United Kingdom that support 200+ clients 24/5.5. oneZero is backed by a top-tier growth private equity firm, Lovell Minnick Partners.

oneZero's software encompasses the Hub, EcoSystem and Data Source - three components that together provide a complete trading solution for execution, distribution and analytics. Through reliable connectivity, technology, infrastructure and market access, oneZero empowers financial institutions and brokers to compete effectively in the global financial markets through a globally compliant, liquidity-neutral solution.

Hubs are robust Software-as-a-Service price and risk management systems that offer aggregation, pricing, risk management, market access and price distribution. EcoSystem is a liquidity distribution network where firms come together for liquidity distribution and access to unique trade flow. Cloud-based Data Source comprises Data Source DNA that allows clients to access their Hub's trade, quote and quote derivative data, and Data Source Insights, advanced analytics derived from that data.

For more information, please contact info@onezero.com.



Andrew Ralich, CEO

About oneZero

oneZero has been a leading innovator in multi-asset class enterprise trading technology for over a decade. Its powerful software encompasses the Hub, EcoSystem and Data Source - three components that together provide a complete trading solution for execution, distribution and analytics. Through reliable connectivity, technology, infrastructure and market access, oneZero empowers financial institutions and brokers to compete effectively in the global financial markets through a globally compliant, liquidity-neutral solution.

www.onezero.com





Let's talk about the next wave in AI, Machine Learning & Managed Services

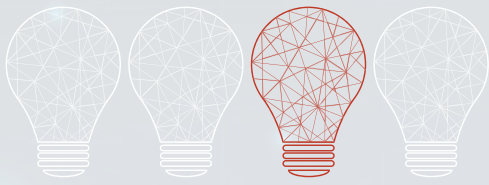


SmartStream's fully integrated suite of solutions and platform services for middle- and back-office operations are more relevant than ever – proven to deliver uninterrupted services to critical processes in the most testing conditions. Their use has allowed our customers to gain greater control, reduce costs, mitigate risk and accurately comply with regulation.

With AI and machine learning growing in maturity, these technologies are now being embedded in all of our solutions and can be consumed faster than ever either as managed services or in the cloud.

Simply book a meeting to find out why over 70 of the world's top 100 banks continue to rely on SmartStream.

info@smartstream-stp.com
smartstream-stp.com



SmartStream

Winner: Most innovative operational resilience/ business continuity initiative

SmartStream has become a trusted partner that deliver automation and control the world's leading capital markets, buy-side firms and corporations. The demands of the industry particularly at times of transformation has allowed us to grow, introducing new solutions and winning multiple industry accolades.

SmartStream's solutions and services span the transaction lifecycle and incorporate the latest AI and machine learning technologies. These can be accessed in a variety of ways, for example, via APIs and micro-services, or deployed as managed services and cloud environments.

As a result, more than 2,000 clients—including 70 of the world's top 100 banks, rely on SmartStream Transaction Lifecycle Management (TLM®) solutions to deliver greater efficiency to their operations, in the areas of:

- Cash & Liquidity Management
- Collateral Management
- Corporate Actions Processing
- Digital Payments and Investigations
- Fees and Expense Management
- Post Trade Processing
- Reconciliations and Exceptions Management
- Reference Data



Nick Smith, *Executive Vice President*

About SmartStream

SmartStream is a recognised leader in financial transaction management solutions that enables firms to improve operational control, reduce costs, build new revenue streams, mitigate risk and comply accurately with regulations.

By helping its customers through their transformative digital strategies, SmartStream provides a range of solutions for the transaction lifecycle with artificial intelligence and machine learning technologies embedded – which can also be deployed in the cloud or as managed services.

www.smartstream-stp.com





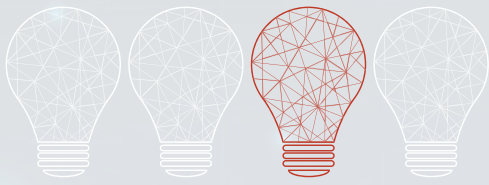
Making investment data work.

Empowering investment decisions with
data intelligence



Find out more about how our data and investment intelligence solutions
help you connect the dots.

rimes.com



RIMES

Winner: Most innovative buy-side trading workflow / STP

Making investment data work The Rimes Solution

We offer a highly configurable cloud-native data solution which sets the industry standard for data quality. The automated delivery mechanism delivers clean trustworthy structured and unstructured data directly into your front middle and back office systems, powering each stage of the trading workflow. Through Rimes Online your data management team can view the data at every stage of delivery through dashboards so you are able to quickly identify, escalate and solve for any data errors, empowering your team to deliver the right information at the right time.

Making investment data work

Working closely with our global clients, we make sure we understand individual requirements to deliver solutions which make investment data work. We service 400+ institutions globally, which include 124 of the top 450 Asset Managers, representing 59% of the total global assets under management, ensuring operational excellence through data quality, integrity and reliability.

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Learn more at www.rimes.com



The Matrix team at Rimes

About RIMES

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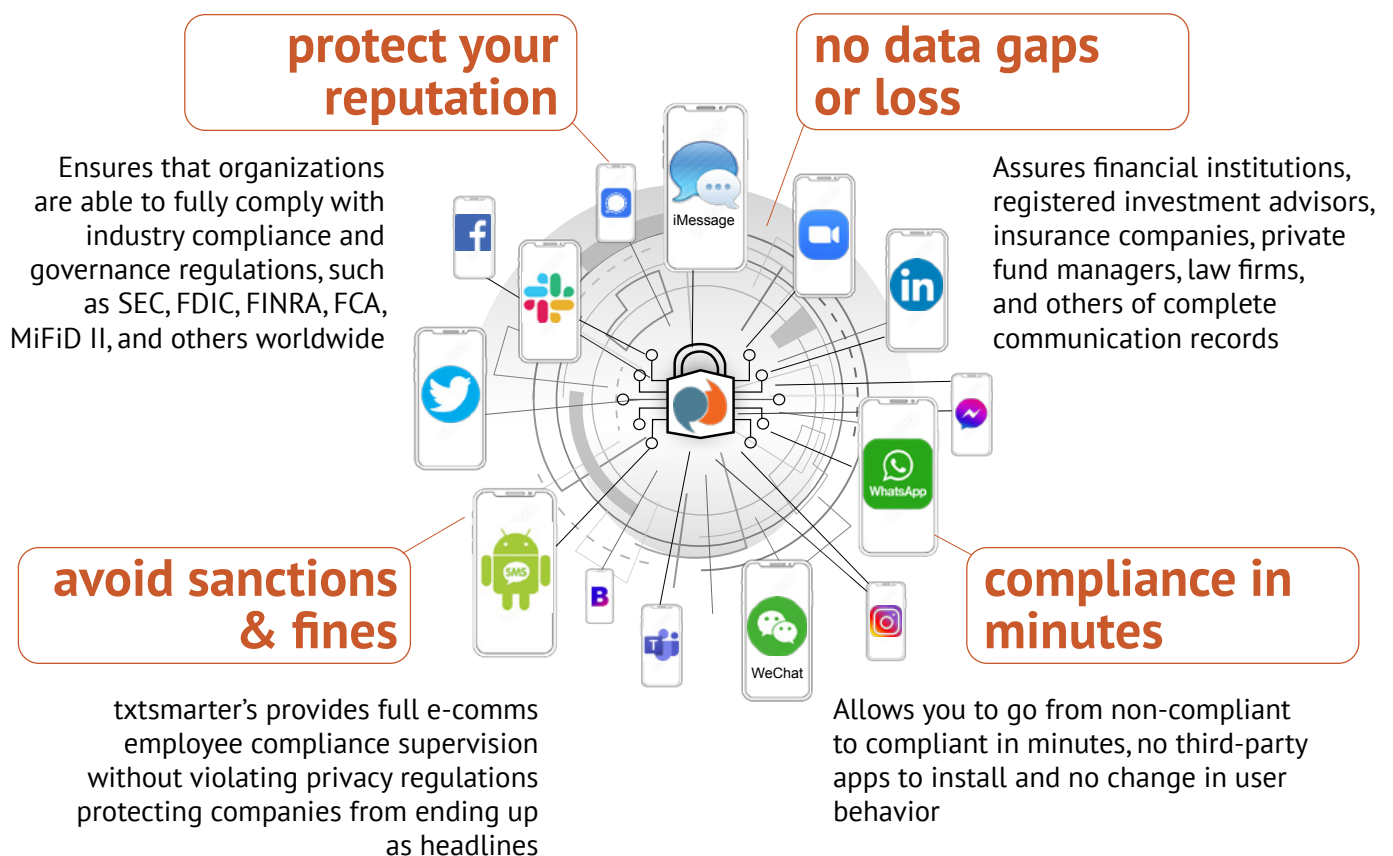
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YOUR EMPLOYEES ARE TEXTING FOR BUSINESS

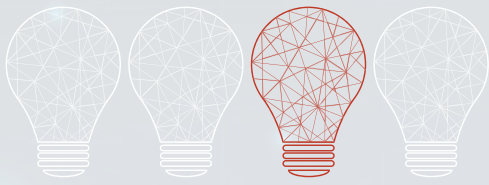
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Don't be the next headline!

only txtsmarter captures iMessage & Android SMS/MMS

txtsmarter is an Award-Winning Communications Surveillance Service for messaging and social platforms to capture, encrypt and archive previously inaccessible data for compliance requirements in highly regulated industries.



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or book a demo **txtsmarter.com**



txtsmarter

Winner: Most Innovative use of alternative data in regulatory compliance

txtsmarter, an award-winning intelligent communications surveillance service based in Silicon Valley/CA, collects, encrypts & archives messages in real-time from private messaging applications, services, or platforms such as Apple iMessage, Android SMS/MMS, WhatsApp, and others to mitigate communications-related data gaps, assisting companies in avoiding regulatory sanctions/fines, and protects against loss of brand reputation, by using game-changing technology to allow employee e-comms supervision without violating privacy regulations and without requiring a change in user behavior.

No Data Gaps

txtsmarter is the only company that can capture Apple iMessage and Android SMS/MMS – no more e-comms data gaps

End-to-End User Compliance

Companies go from non-compliant to fully compliant in minutes – with a simple service layer that readily drives adoption across your organization

Avoid Regulatory Sanctions & Fines to Protect Your Reputation

Ensures that organizations can fully comply with industry compliance/governance regulations, such as SEC, FDIC, FINRA, FCA, MiFiD II, and others, to protect companies from ending up as headlines



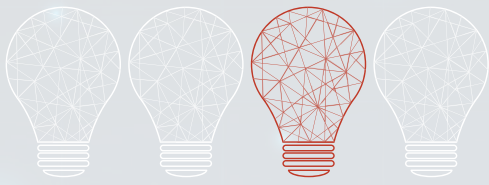
Nuri Otus, CEO and Founder

About txtsmarter – Intelligent Compliance™

txtsmarter, based in Silicon Valley, California, is an award-winning Communications Surveillance Service for private messaging applications, platforms, and services – incl. Apple iMessage, Android SMS/MMS, WhatsApp, and others – capturing, verifying, encrypting, & archiving previously inaccessible data in real-time, mitigating compliance and communication data leaks, preventing sanctions and fines, and protecting company's brand reputations in highly regulated industries.

www.txtsmarter.com





Winner: Most innovative data standards initiative

Established in 1992 by 22 founding numbering agencies, ANNA is the membership organisation of national numbering agencies, which are operated by depositories, exchanges, government agencies, nationally central data vendors and other financial infrastructure organisations. ANNA also serves as the registration authority for the ISIN and FISN standards, under appointment by the International Organization for Standardization (ISO). Under ANNA's stewardship, the role of the ISIN in enabling global financial communications has been established worldwide. ISINs are issued today more than 200 jurisdictions worldwide. In addition, ANNA has developed the Derivatives Service Bureau (DSB), a fully automated global numbering agency to meet the operational and regulatory requirements of the over-the-counter derivatives markets. The number of national numbering agencies and nations working to establish national numbering agencies continues to grow each year, now surpassing 120 jurisdictions globally.



About ANNA

For information about ANNA, its members and activities, please visit anna-web.org.

For more information about the ISIN Standard,

please see: <https://www.youtube.com/watch?v=VK8e2qxYw0Q>.
www.anna-web.org

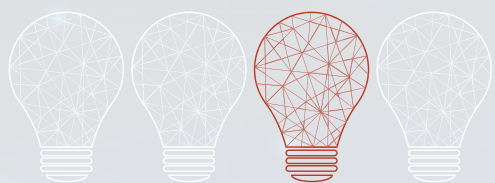


About GLEIF

Established by the Financial Stability Board in June 2014, the Global Legal Entity Identifier Foundation (GLEIF) is a not-for-profit organization created to support the implementation and use of the Legal Entity Identifier (LEI). GLEIF is headquartered in Basel, Switzerland. GLEIF services ensure the operational integrity of the Global LEI System. GLEIF also makes available the technical infrastructure to provide, via an open data license, access to the full global LEI repository free of charge to users. GLEIF is overseen by the LEI Regulatory Oversight Committee, which is made up of representatives of public authorities from across the globe.

www.gleif.org/en





FXSpotStream

Winner: Most innovative API framework/
microservices architecture for trading

FXSpotStream was created in 2011 as a market utility to reduce the costs of trading FX and PM as the electrification of the FX Market continued to accelerate. Clients pay nothing to access liquidity from up to 15 tier 1 Liquidity Providing (LPs) banks via a single API or GUI from sites in London, New York, and Tokyo. On the streaming service, LPs are charged a flat fee to trade an unlimited amount of volume with their clients, resulting in the LPs paying less on a per million basis as their volume grows; thus, clients can expect better pricing.

Last year we launched functionality to support over the API all the FX Algos for spot and NDFs offered by the FSS LPs – over 70 different Algos and 200+ parameters. This is the industry's first multibank service API Algo offering. Algos over the FSS GUI will be available in Q2 2022. Additionally, FSS added support for pre- and post-trade allocations, allowing clients to send orders from multiple accounts at the time.

In 2021 we also announced the implementation of a new low-latency architecture, targeting market data processing times, under all market conditions, to not exceed a maximum of 250 microseconds. The new architecture also provides scalability to allow the Service to maintain the same performance levels as FSS continues to grow and will be fully live this year.



The FXSpotStream London team

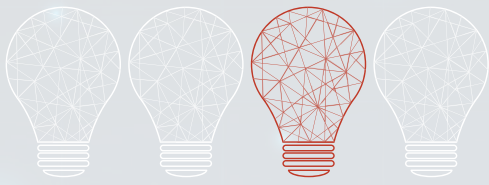
FXSpotStream

Launched in December 2011, FXSpotStream eliminates the costs of execution for price takers and offers banks and clients the ability to interact bilaterally and in a fully transparent manner.

Banks connected to FXSpotStream serve as Liquidity Providers to clients. Clients can access a GUI or single API from co-location sites in New York, London and Tokyo and can communicate with all Liquidity Providing banks connected to FXSpotStream.

www.fxspotstream.com



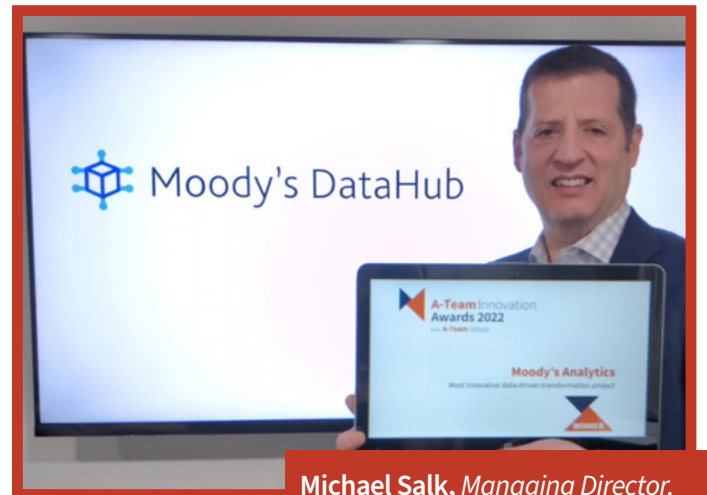


Moody's Analytics

Winner: Most innovative data-driven transformation project

Moody's DataHub is our next generation data distribution platform. It provides direct access to a variety of data from across Moody's businesses making it easier than ever for our customers to obtain the data they need through a single interface. Users can explore data previews and technical information, analyze the data using industry-standard tools, and choose from multiple formats and delivery options, so they export the data how they want it, when they want it.

What makes Moody's DataHub so innovative is the platform's ability to establish private collaboration space enabling users to work directly with the data on-platform without have to bring the data in-house. Saving time and valuable resource space, Moody's DataHub Spaces are secure environments that easily bring people, tools, data and models together, enabling opportunities to work across content boundaries to combine and refine the data to achieve the desired dataset tailored to each customer's needs.



Michael Salk, Managing Director,
Media Solutions & Data Distribution

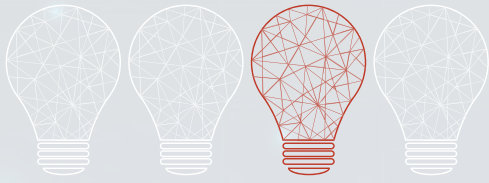
With the Moody's DataHub, we have been able to redefine what it means to do business with Moody's Analytics. We have long been known for our quality data but now, we are bringing even more functionality and cutting-edge technology to our customers. With streamlined access to industry-standard tools to analyze and quickly isolate precise data points, capabilities to combine and create unique data feeds, and flexible controls to choose the format, frequency and delivery method required, we are transforming how users experience data.

About Moody's Analytics

Moody's Analytics provides financial intelligence and analytical tools to help business leaders make better, faster decisions. Our deep risk expertise, expansive information resources, and innovative application of technology help our clients confidently navigate an evolving marketplace. We are known for our industry-leading and award-winning solutions, made up of research, data, software, and professional services, assembled to deliver a seamless customer experience.

www.moodyanalytics.com

MOODY'S
ANALYTICS



James V. O'Donnell

Chief Executive Officer, Exegy

Winner: Most innovative financial technology executive

Jim O'Donnell has a keen eye for the ways in which technology changes capital markets, as well as the drive to bring that game-changing capability to a wider array of firms. He saw the potential of Exegy's FPGA technology from the start, investing in the company's vision of creating low-latency, high-throughput ticker plants that could be used by companies without the engineering expertise to build their own.

O'Donnell has helped guide Exegy along a continuing path of disruption and democratization—improving the sophistication of market data and trading technology, then offering these innovations as-a-Service, to firms whose strategies and ambitions may outstrip their R&D budgets. The company has brought AI-powered trading signals and strategies to a larger group of players through Exegy's Signum and with the Xero Volatility Trading Engine (VTE), Exegy has offered nanosecond-level trading capabilities that promise to open up the derivatives market-making space.

Recognizing that there are further confluences of capital markets technology to explore, this year O'Donnell helmed Exegy through a merger with Vela Trading Systems, backed by Marlin Equity Partners. The expanded company, now with added execution, trading, and enterprise capabilities, is poised to continue to shape capital markets technology, wherever it takes us.



James V. O'Donnell
Chief Executive Officer, Exegy

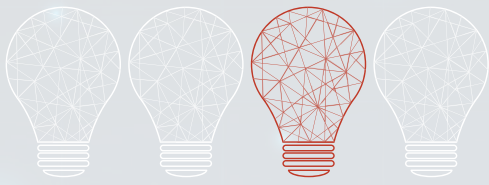
About Exegy

Exegy is a global leader in low-latency market data, execution technology, trading platforms, and predictive signals. Backed by Marlin Equity Partners, Exegy serves as a strategic partner to the complete ecosystem of the buy side, sell side, exchanges, and ISV/technology firms around the globe.

Exegy's fully managed, high-performance, and scalable solutions are powered by purpose-built appliances, hardware-acceleration, artificial intelligence, enterprise software, and service automation technologies derived from our extensive patent portfolio.

www.exegy.com





Winner: Most innovative trading infrastructure monitoring approach



CJC

CJC is the leading market data technology consultancy and service provider for global financial markets. CJC provides multi-award-winning consultancy, operations support (OaaS), cloud solutions, and professional services for mission-critical market data systems. CJC is ISO 27001 certified, enabling CJC's partners the freedom to focus on their core business.

<https://cjcit.com>



Paul Gow, Chief Executive Officer

Winner: Most innovative smart trader desktop & workflows



Cosaic

Cosaic provides state-of-the-art software for firms that want to promote ingenuity, evolve intelligently, and improve end-user efficiency. Products include ChartIQ, the world-renowned financial charting software, and Finsemble, the world's first no/low code smart desktop platform. As a workflow solutions provider, Cosaic is revolutionizing the way people work with over 300 global customers around the world, including Yahoo! Finance, E*TRADE, Charles River, and Fidessa.

<https://cosaic.io>



Dan Schleifer, Co-Founder and CEO

Winner: Most innovative data quality initiative



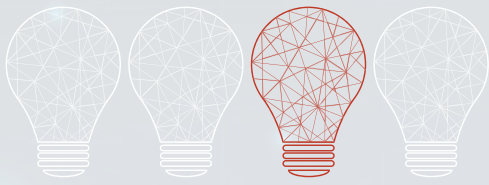
Dataactics

Dataactics specialises in self-service data quality and matching software designed for non-technical business users in financial services firms. The platform helps to eliminate roadblocks common in data management where firms are typically heavily reliant on IT to interpret and hard-code rules into core systems. Solutions ensure compliance with data-driven financial regulations; deliver a true "single customer view"; and increase the accuracy, and reduce the cost, of AML & KYC functions.

www.dataactics.com



Keaton Sullivan, Machine Learning Engineer / PhD Researcher



Winner: Most innovative KYC investigation & due diligence

eClerx

eClerx

eClerx's Compliance Manager is an innovative KYC and Client Onboarding Solution that offers financial institutions state-of-the-art technology, advanced automation, workflow, and real-time reporting capabilities. The solution delivers a digital end-to-end KYC and Client Onboarding journey that is highly automated, scalable, and cost-efficient.

Through the Compliance Manager Solution, eClerx offers financial institutions the flexibility to use a combination of best-in-class delivery mechanisms – technology, process and people, enabling us to provide a holistic due diligence service.

<https://eclerx.com>



Ashwini Bhole, Products, Technology Head

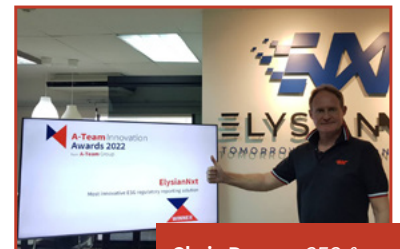
Winner: Most innovative ESG regulatory reporting solution



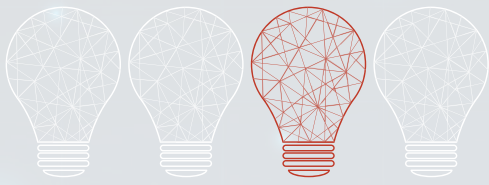
ElysianNxt

ElysianNxt is a fast-growing RegTech company focused on developing the most technologically advanced, user-friendly, real-time Risk and Finance solutions. Comprised by seasoned industry veterans and subject matter experts, the company was established to provide an alternative solution to the traditional, outdated, monolithic applications that the financial industry is accustomed to in their quest to automate their back-end financial calculation obligations.

www.elysiannxt.com



Chris Puype, CEO & Founder



Winner: Most innovative data privacy by design



eXate

eXate streamlines, automates and simplifies the processes of storing, interpreting and extracting value from data assets. The eXate platform is modeled off the highly successful DevOps/DevSecOps process for software engineers and has been adapted to work as a DataSecOps process for data professionals. DataSecOps is a discipline which empowers Software Engineers, Data Scientists, Governance, Risk and Control, Cyber Security & Operations teams to work together in a single application for safer and easier access, analysis, delivery and governance of data. By providing an embedded platform that automates the technical enforcement of data policies, eXate and DataSecOps helps solve the large-scale challenges created by complex global data privacy regulations.

www.eXate.com



Sonal Rattan, Co-Founder and CTO

Winner: Most innovative use of open source/cloud technologies

S&P Global Market Intelligence

KY3P® by S&P Global

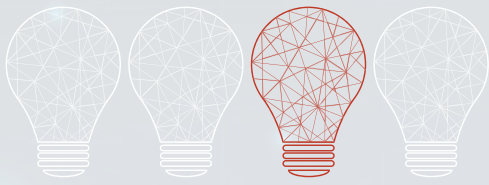
S&P Global (NYSE: SPGI) provides essential intelligence. We enable governments, businesses and individuals with the right data, expertise and connected technology so that they can make decisions with conviction. From helping our customers assess new investments to guiding them through ESG and energy transition across supply chains, we unlock new opportunities, solve challenges and accelerate progress for the world.

We are widely sought after by many of the world's leading organizations to provide credit ratings, benchmarks, analytics and workflow solutions in the global capital, commodity and automotive markets. With every one of our offerings, we help the world's leading organizations plan for tomorrow, today.

www.spglobal.com



Peter Pernebo, Global Head of Third Party Risk Solutions



Winner: Most innovative AI in trading initiative



IPC Systems

IPC is a technology and service leader powering the global financial markets. We help clients anticipate change and solve problems, setting the standard with industry expertise, exceptional service and comprehensive technology. With a customer-first mentality, IPC brings together one of the largest and most diverse global financial ecosystems spanning all asset classes and market participants.

www.ipc.com

Winner: Most innovative young persons' education/ recruitment ('academy') programme



Kaizen Reporting

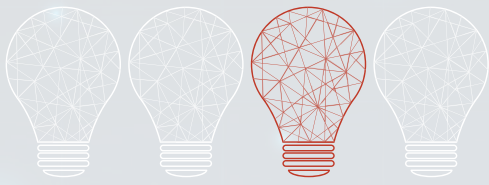
Kaizen Reporting are regulatory specialists on a mission to transform the quality of regulatory reporting in the financial services industry. By combining regulatory expertise with advanced technology, we've developed our multi-award winning automated services for assurance, shareholding disclosure and our Single Rulebook digital platform.

It's our forensic focus on data accuracy that sets us apart and we are proud to call many of the world's largest banks, asset managers, hedge funds and brokers as our clients. Whether it's the global shareholding disclosure rules, or reporting under MiFIR, EMIR, SFTR or another G20 regulation, Kaizen exists to help our clients reduce costs, improve quality and increase confidence in their regulatory reporting.

www.kaizenreporting.com



Ilkay Husseyin, Delivery Manager; **John Laichena**, Data Analyst and Regulatory Associate; **Anthony Nutting**, Data Analyst Apprentice



Winner: Most innovative market data solution



MAYSTREET

MayStreet

MayStreet delivers the highest-quality, most complete global market data available. The firm's solutions – which include the highly accessible Market Data Lake feed repository and Bellport Enterprise feed handler – help market participants generate maximum value from exchange data by delivering it when, where and how they want to receive it. With MayStreet, clients are freed from the difficult and costly work of sourcing and processing market data, leading to lower total cost of ownership, improved decision-making and better performance.

<https://maystreet.com/>



Patrick Flannery, CEO
and Co-Founder

Winner: Most Innovative data lineage solution



OCTOPAI

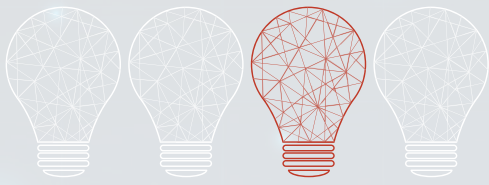
Octopai

Octopai is a leading Data Intelligence Platform serving enterprises globally. Its innovative SaaS solution instantly maps and tracks data assets even in complex, multi-vendor data ecosystems. Octopai has effortless no code, low touch implementation, with out-of-the-box support of over 40 modern and legacy technologies. With Data Lineage XD, an automated data catalog and data discovery, Octopai enables data teams to trust and control their data so the organization can make smarter, quicker decisions.

www.Octopai.com



Yael Ben Arie, CEO,
Gal Ziton, VP Product
and Co-Founder



Winner: Most innovative solution for front-office/trading



Quod Financial

Quod Financial offers a true multi-asset Front to Middle Trading solution for Equities, FX, Derivatives and Fixed Income for Sell-Sides and Buy-Sides. As a global OMS/EMS trading technology provider, focused on automation and innovation, Quod specialises in software and services such as algorithmic trading, smart order routing, and internalisation of liquidity.

Quod Financial leverages a data driven architecture to continually fuel AI/ML enhanced trading automation for liquidity venues, exchanges, retail brokers, buy-side and sell-side institutions.

www.quodfinancial.com



Robert Fulford, EMEA Sales

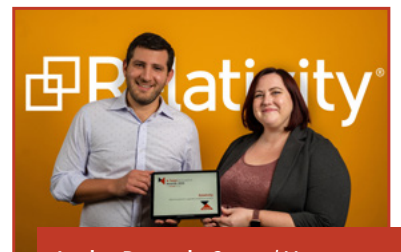
Winner: Most Innovative AI in regulatory compliance initiative



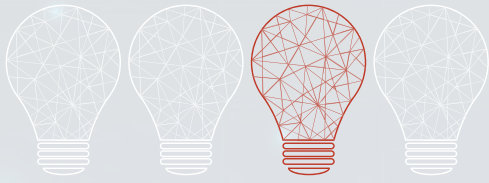
Relativity

Relativity Trace proactively detects regulatory misconduct like insider trading, collusion and other non-compliant behavior. The SaaS solution, securely stored in the cloud, completely automates the monitoring of email, chat and audio communications from more than 50 data sources. Relativity has been named one of Chicago's Top Workplaces by the Chicago Tribune for 10 consecutive years.

www.relativity.com/data-solutions/communication-surveillance/



**Jordan Domash, General Manager;
Sarah Post, Head of Customer Success**



Winner: Most innovative use of AI in an enterprise data management initiative

S&P Global Market Intelligence

S&P Global

S&P Global Market Intelligence is a leading provider of financial and industry data along with research and solutions, including our AI and machine learning technologies that help you discover, extract, link, and enrich unstructured data. Create value for all users in your organization by tracking performance, identifying investment ideas, understanding industry dynamics, performing valuations, and assessing risk, so you can make decisions with conviction. Start exploring all our datasets and solutions on the S&P Global Marketplace.

www.marketplace.spglobal.com/en/



Teddy Kahn, Head of
Analytical Platforms
and Data Lake

Winner: Most innovative project 2022

SingleRulebook

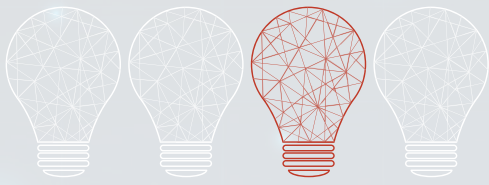
Single Rulebook Limited

We are delighted to win “Most Innovative Project” at the A-Team Innovation Awards 2022. We created our Exchange Rulebooks product to help industry participants more easily monitor changes resulting from Exchange Notices and Circulars. Our unique proposition provides a way for clients to navigate all Exchange Rulebooks on one digital platform and is the result of many months work and investment. We’d like to take this opportunity to thank everyone that voted for us.

www.singlerulebook.com



Wim Nelen, Co-Founder
and Managing Director



Winner: Most innovative AML / financial crime compliance initiative



SIX

SIX operates and develops infrastructure services for the Swiss and Spanish Stock Exchanges, for Post-Trade Services, Banking Services and Financial Information with the aim of raising efficiency, quality and innovative capacity across the entire value chain of the Swiss and Spanish financial centers. The company is owned by its users (120 banks). With a workforce of 3,685 employees and a presence in 20 countries, it generated operating income of CHF 1.5 billion and Group net profit of CHF 73.5 million in 2021.

www.six-group.com



Oliver Bodmer, Senior Product Manager, Financial Information

Winner: Most innovative data governance initiative



Solidatus

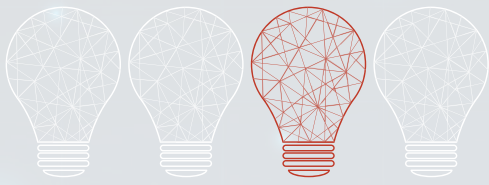
Award-winning Solidatus, the leader in metadata management, enables the world's largest data-rich and regulated organisations to effectively manage their data, people and processes, reducing complexity and risk through transparency, automation and collaboration. Solidatus provides organisations with a solution that allows them to fundamentally redesign their organisational data culture and capabilities by enabling the creation of a holistic organisation-wide digital map that details all the relationships that interact and impact their data, accelerating modernisation and transformation.

The Solidatus methodology for digitally transforming organisations to be data-centric and lineage-enabled is changing the way organisations manage their data. Quickly being adopted by organisations across the globe, including top-tier global financial, pharmaceutical, utility and infrastructure firms and has been implemented by leading consulting and technology firms.

www.solidatus.com



Phillip Dutton, Co-CEO & Co-Founder



Winner: Most innovative cloud-based market data delivery



MDX Technology

More than 35 leading financial institutions, including tier one banks, brokers, trading venues, and buy-side firms, use MDXT for real-time data collaboration internally and with clients. The same technology underpins the MDXT marketplace, which provides a modern, cost-efficient channel for both data providers and consumers alike. Clients include TP ICAP, HSBC, MarketAxess, Marex, ICE and Royal Bank of Canada, to name a few. The company is headquartered in London, with offices in North America and representation in the AsiaPac region.

www.mdxtechnology.com



Amy Jackson, Business Development Manager; **Graham Denyer**, CTO; **Nigel Someck**, VP Sales; **Paul Watmough**, CEO

Winner: Most innovative digital rights management/ licensing compliance/usage tracking



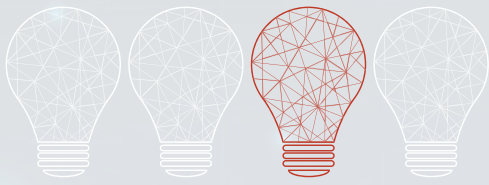
TRG Screen

TRG Screen is the leading provider of enterprise subscription management solutions. TRG Screen is differentiated by its ability to comprehensively monitor both spend on & usage of data and information services including market data, research, software licensing, and other corporate expenses to optimize enterprise subscriptions, for a global client base. TRG Screen's clients realize immediate ROI and significant long-term cost savings, transparency into their purchased subscriptions, workflow improvements and a higher degree of compliance with their vendor contracts. More info on TRG Screen's Digital Rights Management Solutions:

www.trgscreen.com/axon-stock-exchange-compliance-reporting



Chris Hutton, Head of Content and Exchanges



Winner: Most innovative entity data hierarchies approach



West Highland Support Services

West Highland Support Services is the leading market data solutions company for the financial services industry. We provide managed services, operational management, commercial / contract management, executive consulting services, application development, and automated technology innovation. Our 25-year tenure has been recognized by the industry as the best-in-class / most innovative services & solutions provider over 10 times. Our Data Management as a Service platform – IDP – helps customers maximize ROI, save costs and improve technology performance.
www.westhighland.net



Steve Roe, CEO

Winner: Most innovative financial data security solution



Options

Options is the No.1 provider of IT infrastructure to global Capital Markets firms, supporting their operations and ecosystems. Founded in 1993, the firm began life as a hedge fund technology services provider. Today, Options provides high-performance managed trading infrastructure and cloud-enabled managed services to over 550 firms globally, providing an agile, scalable platform with Investment Bank-grade Cybersecurity. With offices in 10 key cities, Options are well placed to service their customers both on-site and remotely.
www.options-it.com



Jenna Armstrong,
Cyber Security Manager

Winner: Most innovative trade data management & reconstruction

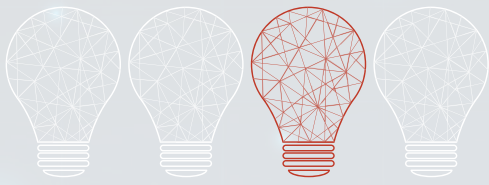


SteelEye

SteelEye simplifies compliance for financial firms and saves them time and money. SteelEye's holistic platform allows firms to achieve ROI from communications and trades Record keeping, Oversight, and Intelligence. With SteelEye, firms can easily lock their data in a WORM-compliant vault, identify early warning signs of harmful conduct, and demonstrate that they have watertight policies and procedures for operational supervision.
www.steel-eye.com



Matt Smith, CEO



A-Team Innovation Awards 2022 highly commended

Most innovative KYC investigation & due diligence

AML Partners



Most innovative alternative data solution for trading & analytics

CanDeal Data Analytics DNA



Most innovative project 2022

EDM Council



Most innovative data-driven transformation project

Push Technology



Most innovative market data solution

Quincy Data powered by McKay Brothers



Most innovative regulatory reporting solution

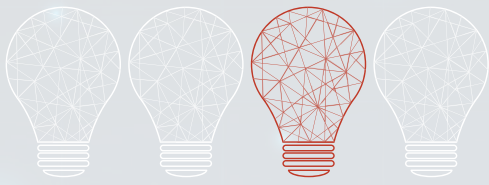
S3



Most innovative solution for front-office/trading

Secretarium





More A-Team Innovation Awards 2022 winners

Most innovative data orchestration for regulatory reporting

JUMP Technology

Most innovative trade surveillance solution

KX

Most innovative sanctions and PEPs data solution (client project under entity data)

Trapets AB

Most innovative trade & transaction reporting initiative

ACA Group

Most innovative regulatory reporting solution

Adenza

Most innovative alternative data solution

AdvantageData

Most innovative implementation of knowledge graph technologies

BlackSwan Technologies

Most innovative regulatory compliance monitoring / management

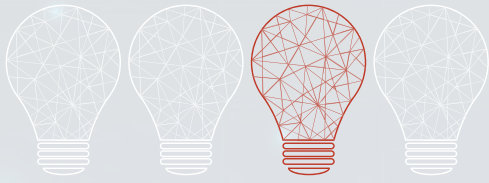
Corlytics

Most innovative supply chain risk management / Know Your Vendor initiative

GeTS Asia Pte Ltd

Most innovative unstructured data management project

MC Bernstein Data



More A-Team Innovation Awards 2022 winners

Most innovative team

Piper Sandler & Co LP

Most innovative use of distributed ledger technology/blockchain

R3

Most innovative cyber security initiative

Siepe

Most innovative alternative data solution for trading & analytics

Templum

Most innovative client onboarding & lifecycle management solution

UBO Service

