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Understanding the Importance of an Integrated Regulatory, Data, and Technology Strategy

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An Integrated Regulatory, Data & Technology Strategy

Regulatory Landscape

- Where have we come from?
- Where are we now?
- Where are we going?

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Significant regulatory shift that continues to evolve with the increased demand for:

- Data that is secure, consistent, aligned, organized, available, easy to ingest and of a high quality
- Platforms that can scale, offer stability and reduce fragmentation where technology is the enabler

An Integrated Regulatory, Data & Technology Strategy

Data and Regulation

An institutional quality regulatory program is heavily dependent on an integrated and well coordinated strategy around data and data management.

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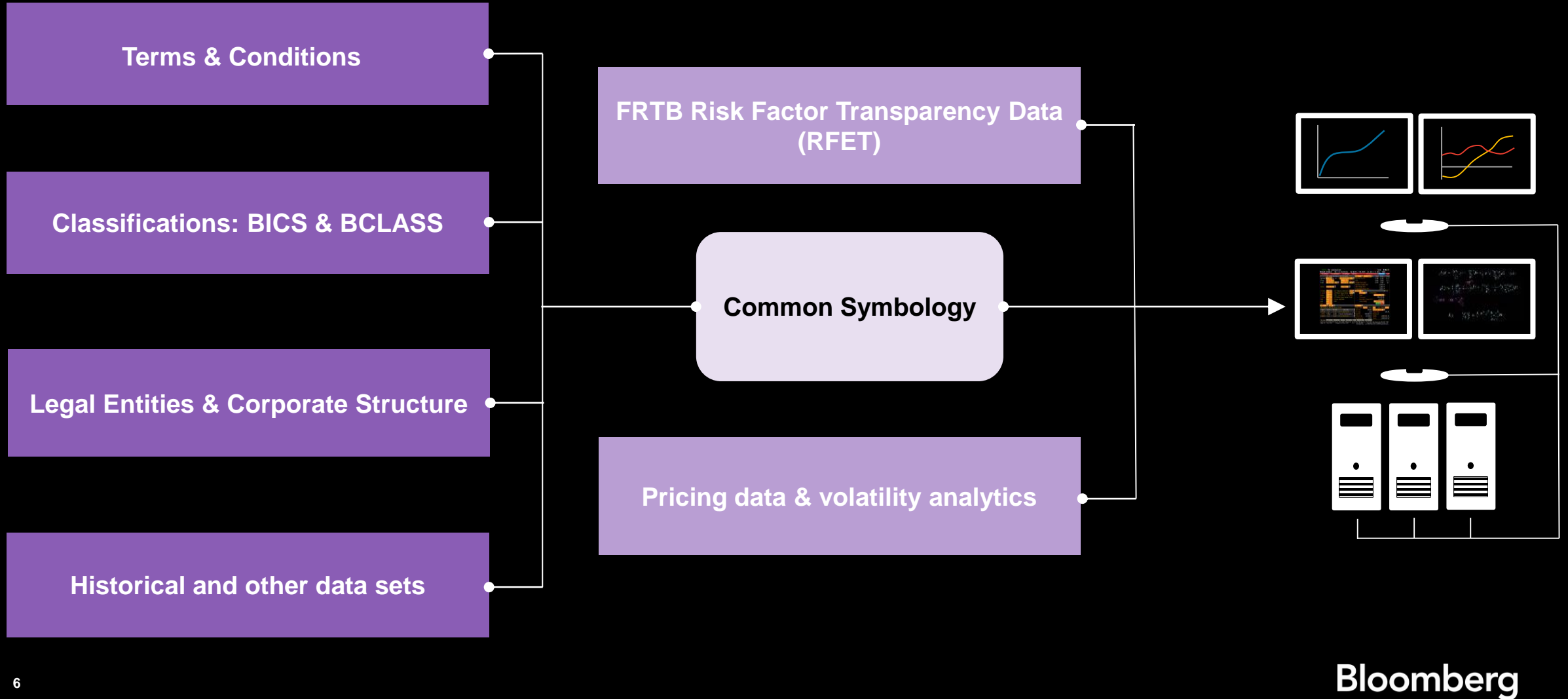
Key Points:

- Data Availability
- Simplified Distribution
- Ready to Use (machines and humans)
- Data Quality

A data management strategy that doesn't consider regulation will fall short of defined success

An Integrated Regulatory, Data & Technology Strategy

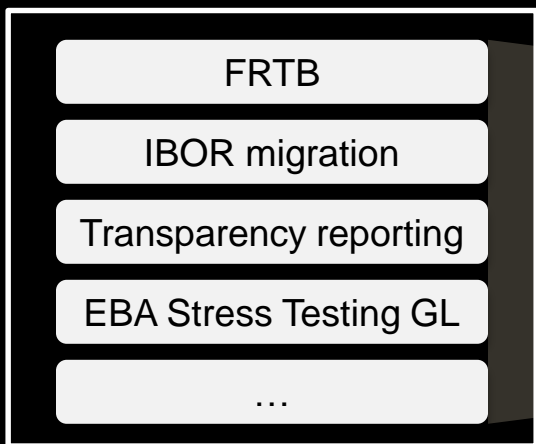
Example: FRTB - The need for alignment, consistency and data provenance



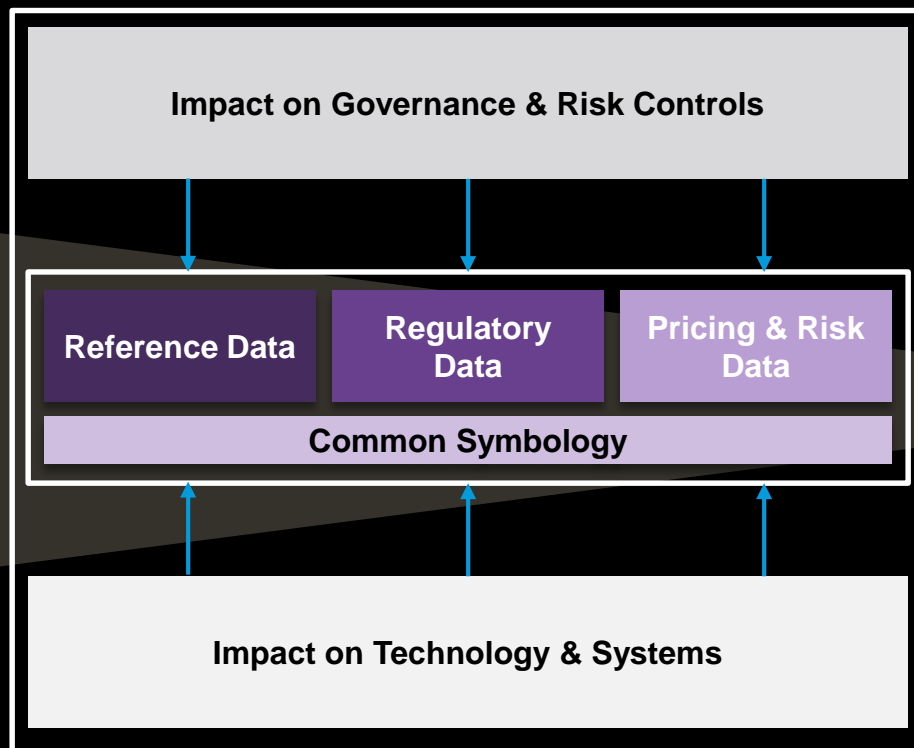
An Integrated Regulatory, Data & Technology Strategy

Regulatory Landscape, Data & Technology and Business Impact

Regulatory Landscape



Impact on Data & Technology

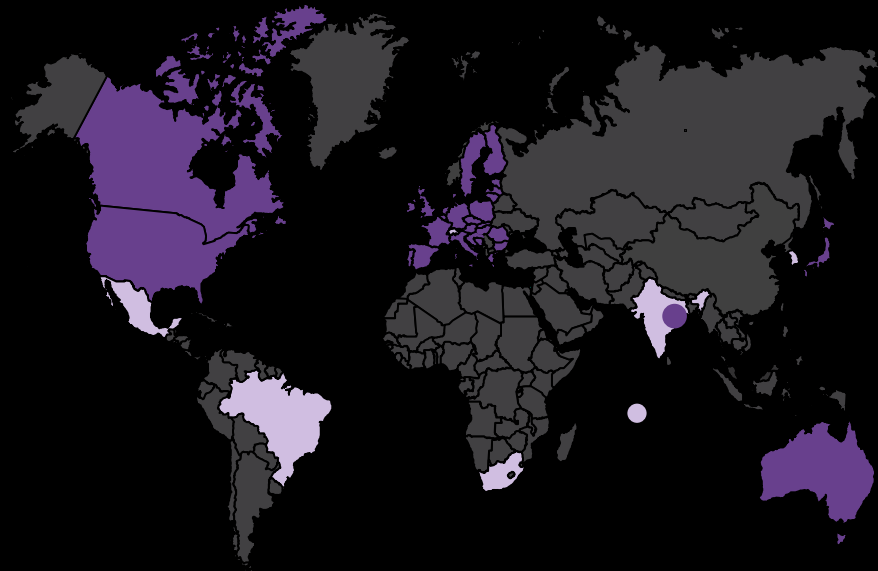


Impact on Business



An Integrated Regulatory, Data & Technology Strategy

Technology: building the connective tissue between data and reporting

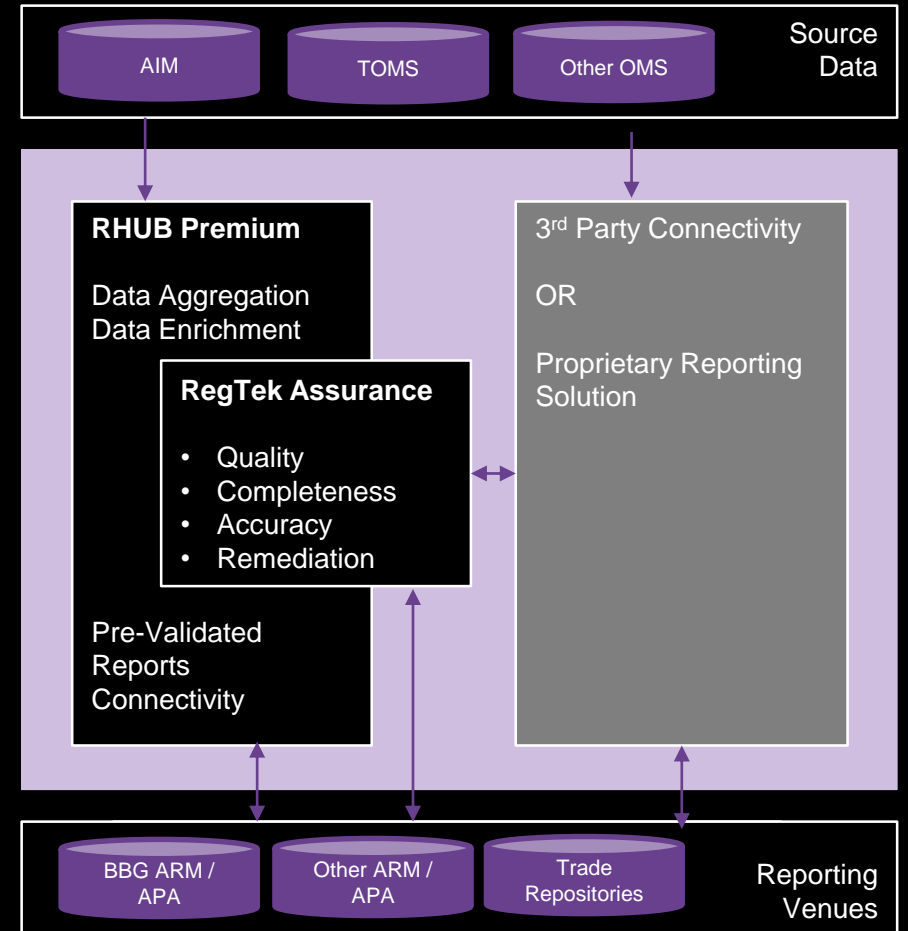


Covered

Client Demand

Jurisdictions supported:

- MiFID II
- EMIR
- SFTR
- CFTC (DFA)
- Canada
- HKMA
- ASIC
- MAS
- JFSA
- BOI



Questions?

